

## RESEARCH ARTICLE SUMMARY

## NEUROSCIENCE

# Amygdala ensembles encode behavioral states

Jan Gründemann<sup>\*†</sup>, Yael Bitterman<sup>\*</sup>, Tingjia Lu, Sabine Krabbe, Benjamin F. Grewe, Mark J. Schnitzer, Andreas Lüthi<sup>†</sup>

**INTRODUCTION:** Affective or metabolic states, such as anxiety, stress, or thirst, enable adaptations of perception and the selection of appropriate behaviors to achieve safety or homeostasis. Classically, changes in brain states are associated with thalamocortical circuitry and sensory coding. Yet homeostatic and affective states are associated with complex behavioral, autonomic, and hormonal responses, suggesting that state representations involve brain-wide networks, including subcortical structures such as the amygdala. Previously, amygdala function has been studied mainly in the framework of Pavlovian conditioning, leading to the identification of specific circuit elements that underlie associative plasticity at the single-cell and neural-ensemble levels. However, how internal states engage neuronal ensembles in the basal amygdala, a hub for regulating affective, homeostatic, foraging, and social behaviors via widespread connections with many other brain areas, remains unknown.

**RATIONALE:** The encoding of states governing self-paced behaviors, including foraging or place avoidance, should engage large neuronal populations, evolve on longer time scales (seconds to minutes), generalize across contexts, and lead to differences in sensory processing and action selection. We therefore used a miniature microscope and longitudinal imaging of amygdala neural activity in freely moving mice performing a series of behavioral paradigms in different contexts across multiple days. We thereby tracked neuronal population activity across distinct behavioral paradigms in which mice exhibited distinct modes of behavior manifesting different internal states.

**RESULTS:** We tracked amygdala neuronal activity across the open-field test, the elevated plus maze test, and a classical Pavlovian fear-conditioning paradigm. During open-field exploration, two large ensembles of basal amygdala neurons antagonistically conveyed

information about an animal's corner or center location. This population signature of opposing ensemble activity occurred on a slow time scale (seconds), was evident across consecutive days and paradigms, and predicted transitions from exploratory to non-exploratory, defensive states and vice versa. Notably, amygdala ensemble coding did not

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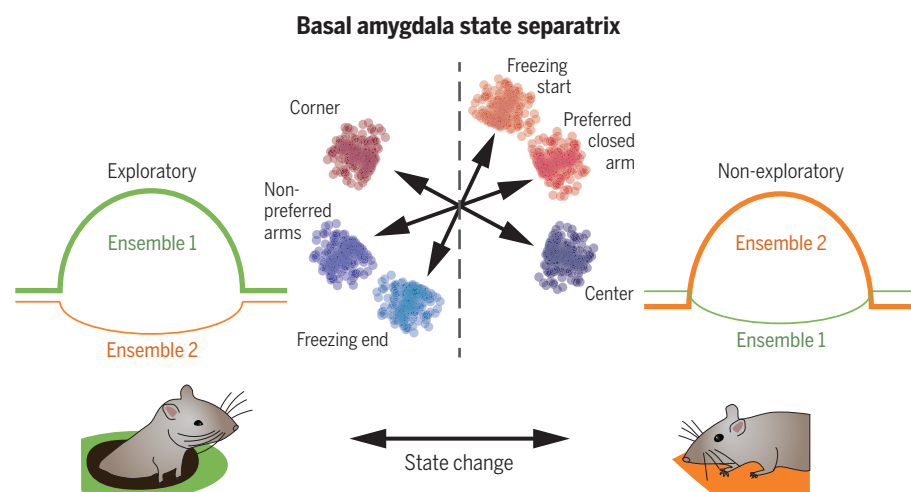
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align with spatial areas generally thought to correspond to global anxiety states (e.g., the open-field corners and the closed arms of the elevated plus

maze) but instead reflected moment-to-moment changes in the exploratory or defensive state of the animal. During fear conditioning, sensory responses of amygdala neuronal populations to conditioned (tone) and unconditioned (shock) stimuli were orthogonal to state encoding, demonstrating that fast sensory responses and slow exploratory state dynamics were separately encoded by amygdala networks. Correlations of neural responses to state transitions were largely conserved across major amygdala output pathways to the hippocampus, nucleus accumbens, and prefrontal cortex.

**CONCLUSION:** Our study reveals two large, nonoverlapping functional neuronal ensembles of the basal amygdala representing internal states. The ensembles are anatomically intermingled and encode opposing moment-to-moment states changes, especially regarding exploratory and defensive behaviors, but do not provide a scalar measure of global anxiety levels.

The amygdala broadcasts state signals to a wider brain network, including cortical and subcortical areas. These signals are likely correlated with diverse aspects of brain state, including anxiety, arousal, sensory processing, and action selection. This extends the current concept of thalamocortical brain-state coding to include affective and exploratory state representations in the amygdala, which have the potential to control state-dependent regulation of behavioral output and internal drives. Our findings provide a low-dimensional amygdala population signature as a trackable measure for the state dependency of brain function and behavior in defined neuronal circuits. It remains to be tested whether a maladaptive bias in neuronal state coding in the basolateral amygdala contributes to behavioral and physiological alterations in animal disease models. ■



**Amygdala ensembles encode behavioral states.** Two large, antagonistic basal amygdala neural ensembles signal opposite behavioral states conserved across different behavioral paradigms and contexts. This neural state signature separates exploratory and nonexploratory, defensive behaviors (dashed line) on a moment-to-moment basis, does not align with global anxiety levels (red clusters, high anxiety; blue clusters, low anxiety), is orthogonal to sensory responses, and is broadcast to a wider brain network.

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# Amygdala ensembles encode behavioral states

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Internal states, including affective or homeostatic states, are important behavioral motivators. The amygdala regulates motivated behaviors, yet how distinct states are represented in amygdala circuits is unknown. By longitudinally imaging neural calcium dynamics in freely moving mice across different environments, we identified opponent changes in activity levels of two major, nonoverlapping populations of basal amygdala principal neurons. This population signature does not report global anxiety but predicts switches between exploratory and nonexploratory, defensive states. Moreover, the amygdala separately processes external stimuli and internal states and broadcasts state information via several output pathways to larger brain networks. Our findings extend the concept of thalamocortical “brain-state” coding to include affective and exploratory states and provide an entry point into the state dependency of brain function and behavior in defined circuits.

State-dependent adaptations of perception and the selection of appropriate behavioral outputs are essential for an animal's survival (1). Changes in internal states have been linked to distinct states of thalamocortical circuitry and sensory coding (2–6). However, to date, where and how complex internal state changes are encoded as neural state changes have remained elusive. Prior studies identified state-related functions of subcortical (7) brain areas and neural circuits (8), which can induce rapid shifts in behaviors (9–16). Homeostatic (hunger and thirst) and affective states induced by aversive or appetitive experience are both associated with perceptual, autonomic, and hormonal responses, which lead to distinct behavioral outputs (17–23). This suggests that internal states are represented by specific patterns of neuronal activity across large brain networks (24). Nevertheless, how ensembles of identified neurons can represent internal states via their activity patterns has remained unknown (5, 25, 26).

The basolateral amygdala, and specifically its basal nucleus [the basal amygdala (BA)], is a brain hub for regulating affective, homeostatic, and social behaviors. The BA is function-

ally linked to motor pathways (27) that drive specific behavioral outputs, thalamic and cortical sensory areas that process outcome-predicting stimuli (28, 29), and brain centers that sense and regulate behaviorally relevant hormones and neuromodulators (30). Previously, amygdala function has been studied mainly in the framework of Pavlovian conditioning (31–34), leading to the identification of specific circuit elements that underlie associative plasticity at the single-cell (35–37) and neural-ensemble (38) levels. However, we have only a rudimentary understanding of how amygdala neural activity relates to self-paced, state-driven behaviors (24), including foraging, risk assessment, and place avoidance (39–41). These behaviors are strongly driven by internal states (42) and may therefore serve as their external manifestations and readouts; yet how ensembles of identified BA neurons encode these states and their relationships to learned stimulus-outcome representations remain unknown.

By using a head-mounted miniaturized microscope (38, 43), we performed deep-brain  $\text{Ca}^{2+}$ -imaging studies of large populations of BA principal neurons in mice that engaged in a series of behavioral paradigms. This experimental design allowed us to longitudinally track large ensembles of individual neurons to record assumption-free normalcy of neuronal activity (fig. S1) across several days and paradigms.

## Results

### Amygdala activity during open-field exploration

We used a miniature fluorescence microscope (Fig. 1A and fig. S1, A to D) to track the relative changes in  $\text{Ca}^{2+}$  fluorescence in large populations of BA principal neurons [calcium/calmodulin-

dependent protein kinase II (CaMKII)-positive as well as projection-specific neurons (fig. S8)] in freely moving mice within three different, consecutive behavioral assays (Fig. 1B and fig. S1). We chose these assays because they prompt mice to exhibit distinct modes of behavior that are likely outward manifestations of different internal states.

During the open-field (OF) test, mice generally spent most time in the periphery of the OF (44–47) (Fig. 1D) (time in the periphery,  $76 \pm 3\%$ ; time in the center,  $24 \pm 3\%$ ;  $P < 0.0001$ , Wilcoxon matched-pairs signed-rank test;  $n = 25$  mice). Nevertheless, mice typically exhibited pronounced exploratory behavior in the OF (Fig. 1G and fig. S2G). They covered large distances ( $45 \pm 2$  m in  $\sim 10$  min;  $n = 25$  mice) (Fig. 1C) and ventured out of the corners, along the walls (time in corners,  $34 \pm 2\%$ ; time at walls,  $42 \pm 1\%$ ;  $P < 0.01$ , Wilcoxon matched-pairs signed-rank test;  $n = 25$  mice), and into the center (Fig. 1D).

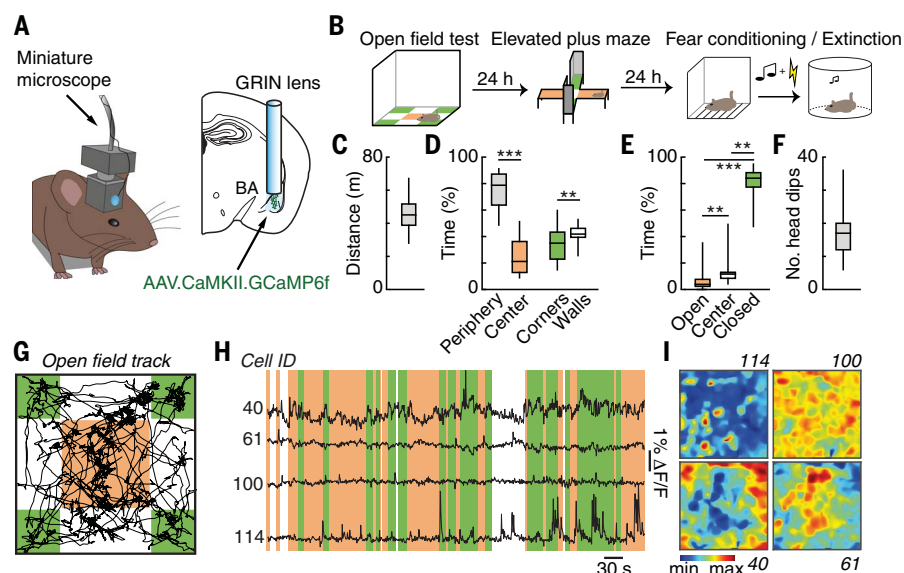
We tracked the somatic  $\text{Ca}^{2+}$  activity of BA CaMKII-positive principal neurons ( $133 \pm 6$  neurons per animal;  $n = 9$  mice) throughout OF exploration (Fig. 1A and fig. S1). BA neurons exhibited diverse activity patterns that included discrete  $\text{Ca}^{2+}$  transient events as well as slow changes in  $\text{Ca}^{2+}$ -related fluorescence (Fig. 1H). The average spatial response patterns of individual BA neurons in the OF arena ranged from seemingly nonspecific to area-biased activity that preferentially occurred when the mouse was either in the arena corners (corner-modulated cells) or in the center (center-modulated cells) (Fig. 1I).

We quantified the area bias of the neuronal responses on the basis of the differential  $\text{Ca}^{2+}$  activity between corners and centers (area score) (see methods). We defined neurons with scores exceeding a selected threshold as area modulated (total proportion of area-modulated neurons,  $28 \pm 5\%$ ) (Fig. 2, A and B). The distribution of area scores on the basis of differential activity in corners versus centers was significantly wider than that of area scores on the basis of neutral divisions of the OF (e.g., left versus right or top versus bottom) or datasets in which we temporally shifted the cellular activity traces relative to the behavioral time course (fig. S2a) (see methods). Accordingly, a substantial fraction of corner-versus-center-based area scores was outside of the 95% confidence interval of the neutral left-versus-right (17%) or top-versus-bottom (21%) distributions, as well as the temporally shifted controls ( $21 \pm 3\%$  for 100 random temporal shifts), indicating that the extent of corner-versus-center coding in BA ensembles is significantly greater than that expected from the random fluctuations in the cells' activity patterns. Across the population, comparable proportions of cells were preferentially active in the corners or in the center (corners,  $13 \pm 3\%$  of BA principal neurons per animal; center,  $15 \pm 3\%$ ;  $P = 0.641$ , Wilcoxon matched-pairs signed-rank test;  $n = 9$  mice) (Fig. 2C). Corner-modulated and center-modulated neurons were spatially intermingled in the BA, with no apparent local clustering (Fig. 2, D and E, and fig. S2B).

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**Fig. 1. Deep-brain imaging of BA activity in freely exploring mice.** (A) Scheme of gradient index lens implantation and virus expression strategy. [The brain image is reproduced from (83)] (B) Scheme of 7-day behavioral paradigm, including consecutive OF and EPM tests, as well as 5-day FC and fear extinction paradigms (see also Fig. 5). (C) Distance traveled in the OF ( $n = 25$  mice). (D) Time spent in the center versus the periphery and subareas of the periphery (walls versus corner).  $**P < 0.01$ ,  $***P < 0.001$ , Wilcoxon matched-pairs signed-rank test ( $n = 25$  mice). For definition of locations, see (G). (E) Time spent in the open and closed arms, as well as the center, of the EPM ( $n = 25$  mice). (F) Average number of head dips on the EPM ( $n = 25$  mice). (G) Example OF track of an individual mouse. Context size, 40 cm by 40 cm. (H) Example  $\text{Ca}^{2+}$  signals of four simultaneously recorded individual cells during OF exploration. Colors indicate mouse location: orange, center; green, corner.  $\Delta F/F$ , change in calcium-dependent fluorescence. (I) Mean  $\text{Ca}^{2+}$  signal across the OF arena for the four example cells shown in (H), demonstrating nonspecific (cells 114 and 100) and area-modulated (cells 40 and 61) activity patterns. The color bar indicates the cell-by-cell normalized z-score. Box-and-whisker plots indicate the median, the interquartile range, and the minimum to maximum values of the data distribution.

To examine neuronal response dynamics during an animal's transition from the OF center into a corner and vice versa, we averaged neuronal  $\text{Ca}^{2+}$  responses aligned on crossings of the corner boundary according to the directionality of the transition. This analysis revealed that a large group of BA neurons was either activated ( $27 \pm 4\%$ ) (ensemble 1) or inhibited ( $29 \pm 4\%$ ) (ensemble 2) ( $P = 0.641$ , Wilcoxon matched-pairs signed-rank test; see methods for details on the cluster-based analysis of relative changes in  $\text{Ca}^{2+}$  fluorescence) upon spatial transitions into or out of the corners (Fig. 2, F and G). The sign of the response of the respective BA ensemble was inverted when the animal exited the corner area, notwithstanding similar speed profiles for the two transitions (Fig. 2, G and H, and fig. S2J). Generally, ensemble 1 cells were activated upon corner entry and overlapped with corner-modulated cells, whereas ensemble 2 cells were activated at corner exits and corresponded to center-modulated cells (Fig. 2F and fig. S2, C to F). The activity patterns of corner- and center-modulated cells were linked to the spatial location or the transition therein and did not depend on differences in average corner and center movement (fig. S2G), general speed correlations on the single-cell level (fig. S2H), or

correlations of neuronal activity with instantaneous changes in speed (Fig. 2, G to I, and fig. S2, I and J). Although BA neurons can be speed modulated, speed modulation alone cannot explain the prominent area coding.

We trained a support vector machine classifier (see methods) to test whether corner versus center locations of the animal could be accurately predicted solely on the basis of BA population activity. The classifier reached high decoding accuracies of  $86 \pm 2\%$ , whereas decoder performance dropped if the decoder was trained and tested on temporally shuffled neuronal data ( $46 \pm 4\%$ ;  $P = 0.004$ , Wilcoxon matched-pairs signed-rank test) (fig. S2K) or temporally shifted to control for local structures in the behavioral and neuronal data (fig. S2L) (see also methods).

Next, we tested the extent to which corner and center coding was stable across days by using an OF re-exposure paradigm. Area scores of individual neurons were significantly correlated (correlation coefficient  $r = 0.57$ ;  $P < 0.001$ ) across days, and cells typically did not switch area coding categories, indicating the stability of BA area coding (fig. S3, A and B). Additionally, repeating a similar analysis for the complete longitudinal paradigm, we found that the spontaneous spatial activity map of BA corner (pe-

riphery) and center ensembles was stable across different context shapes and that area scores were highly correlated (fig. S3, C and D).

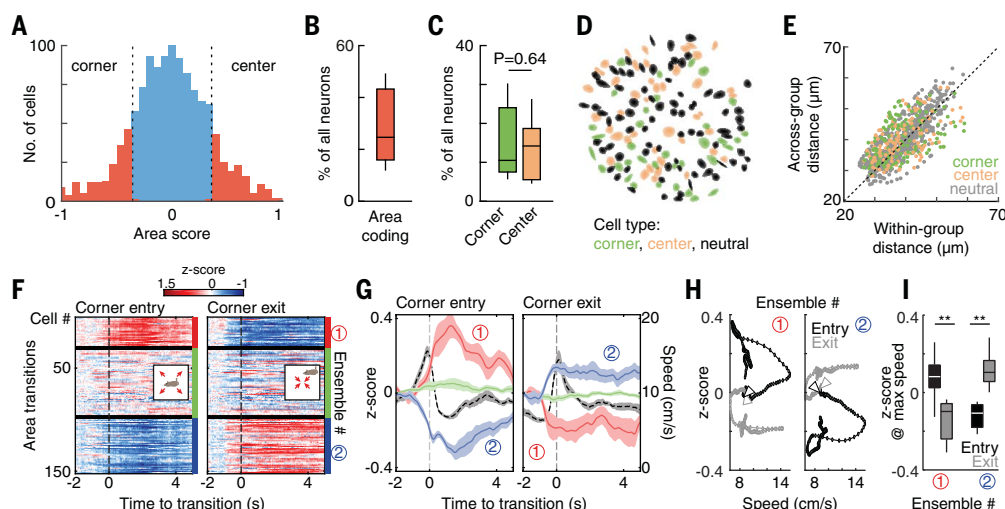
Lastly, we probed whether corner- and center-cell signaling is dependent on the state of the animal or merely on location. Corner-cell location-specific activity was lost when the animals were in a nonexploratory, freezing state in the corners during the fear-conditioning (FC) session (fig. S4, A and B), as well as upon spontaneous or cued freezing in the periphery (fig. S4C). However, using interanimal variability of center exploration as a behavioral proxy of an animal's global anxiety level, we found that BA corner- and center-cell proportions did not correlate with OF center times, suggesting that the relative strength of corner- and center-cell coding is independent of an animal's global anxiety level (fig. S4D).

### Amygdala encoding of elevated plus maze exploration

To investigate whether general principles of BA population coding apply to exploratory behavior across different contexts, we imaged the same BA principal neurons during elevated plus maze (EPM) exploration (Figs. 1B and 3B and fig. S1E). Mice spent more time in the closed arms of the EPM (time in closed arms,  $81 \pm 2\%$ ). Typically, one of the closed arms, the preferred home-base arm, was substantially more frequented (fig. S5, A to D). Mice also exhibited exploratory behavior on the EPM. They ventured out of the closed arms into the center (relative time spent,  $13 \pm 2\%$ ) or onto the open arms ( $6 \pm 1\%$ ;  $P < 0.0001$ , Friedman test) (Fig. 1E). Furthermore, the animals performed so-called head dips, characterized by brief periods of visual investigation over the edges of the EPM open arms (Fig. 1F).

Next, we asked whether the area-modulated responses of BA principal neurons that we observed in the OF would generalize to the EPM. Specifically, we hypothesized that enclosed, potentially safe areas are similarly represented between the two paradigms, such that corner-modulated cells might be more active during an animal's stay in the closed arm of the EPM whereas center-modulated cells might show higher activity levels during open-arm exploration. The average activity of corner-modulated cells was reduced in the preferred closed arm (mean z-score,  $-0.22 \pm 0.03$ ;  $n = 9$  animals) (Fig. 3, B and E), whereas center-modulated cells exhibited increased activity in the preferred closed arm (mean z-score,  $0.15 \pm 0.04$ ;  $P < 0.01$ , Wilcoxon matched-pairs signed-rank test) (Fig. 3, C and E). Once the animal ventured out of its preferred closed arm to explore the EPM, the average activities of corner- and center-modulated cells reversed such that corner-modulated cells increased their  $\text{Ca}^{2+}$  fluorescence in the center ( $\Delta z$ -score,  $0.246 \pm 0.04$ ), less-frequented closed arm ( $\Delta z$ -score,  $0.262 \pm 0.04$ ), or open arms ( $\Delta z$ -score,  $0.222 \pm 0.03$ ) whereas center-modulated cells decreased their activity in the respective areas ( $\Delta z$ -score for center,  $-0.177 \pm 0.04$ ; for less-frequented closed arm,  $-0.183 \pm 0.05$ ; and for open

**Fig. 2. Large, intermingled populations of BA neurons are spatially modulated.** (A) Area score histogram of amygdala projection neurons ( $n = 1201$  neurons). Cutoff scores for corner- and center-modulated cells were set at  $\pm 1$  standard deviation (dashed lines). (B) Proportion of area-coding neurons. (C) Proportions of corner- and center-modulated neurons are similar ( $P = 0.64$ , Wilcoxon matched-pairs signed-rank test). (D) Spatial distribution of corner (green)- and center (orange)-modulated cells of one example mouse. Black, neutral cells. (E) Mean within- and across-group distances of individual corner- and center-modulated cells (one point per cell). (F) Mean responses of functionally clustered groups of individual neurons upon corner-entry (left) and -exit (right) transitions ( $n = 152$  neurons from one mouse; number of entries, 24; number of exits, 21). Zero marks corner-entry or -exit times; responses were baselined on 2 to 1.5 s before transition (see methods). Cells were ordered according to  $k$ -means clustering on the average corner-entry response of each cell. Cell identifiers (IDs) were kept identical for corner-exit responses. (G) Average corner-entry (left) and -exit (right) responses of corner entry-activated (red) (ensemble 1), -neutral (green), or -inhibited (blue) (ensemble 2) clusters across animals. Black traces indicate the average speed profile upon corner entry or exit. Lines indicate the average across animals  $\pm$  SEM. (H) The  $\text{Ca}^{2+}$  activity



in relation to corner-entry (black) and -exit (gray) transition speeds for the two ensembles (average response profile) reveals that the relationship between instantaneous speed and cellular activity is destination dependent and not solely speed driven. Triangles mark the transition start. (I) Average z-score at maximum velocity (ensemble 1,  $P = 0.008$ ; ensemble 2,  $P = 0.004$ ; Wilcoxon matched-pairs signed-rank test). The spatial decoding accuracy of corner and center locations in actual and time-shuffled data is shown ( $P = 0.004$ , Wilcoxon matched-pairs signed-rank test). Box-and-whisker plots indicate the median, the inter-quartile range, and the minimum to maximum values of the data distribution. All data are generated from  $n = 9$  animals.  $**P < 0.01$ .

arms,  $-0.156 \pm 0.05$ ; all  $P < 0.01$ , Wilcoxon matched-pairs signed-rank test) (Fig. 3, D to F). This suggests that the activity of corner-modulated cells correlates with the exploration of the nonpreferred closed and open arms of the EPM. Consistent with this notion, the animals' behavior in the OF corners was not passive but rather characterized by constant activity, exploration, and rearing (figs. S2G and S5E).

To test this idea further, we analyzed the activities of corner- and center-modulated cells during open-arm head dips, a classic exploratory, low-anxiety behavior (48). On average, the animals performed  $18 \pm 2$  head dips per EPM session. During head dips, corner-modulated cells were strongly activated ( $z$ -score,  $0.28 \pm 0.04$ ) whereas center-modulated cells were inhibited ( $z$ -score,  $-0.23 \pm 0.08$ ;  $P < 0.01$ , Wilcoxon matched-pairs signed-rank test) (Fig. 3, G to I). The opposing activation pattern for corner- and center-modulated cell ensembles is preserved during head dips, an alternative, stationary exploratory behavior.

### Consistent encoding of behavioral states in amygdala ensembles

Next, we asked how behavioral states and the transitions between them are encoded on the neuronal population level. We extended the analysis to follow the modulation of BA ensemble activity across different states and behavioral paradigms. We classified the neuronal ensembles on the basis of their responses during transitions into the corners of the OF into activated

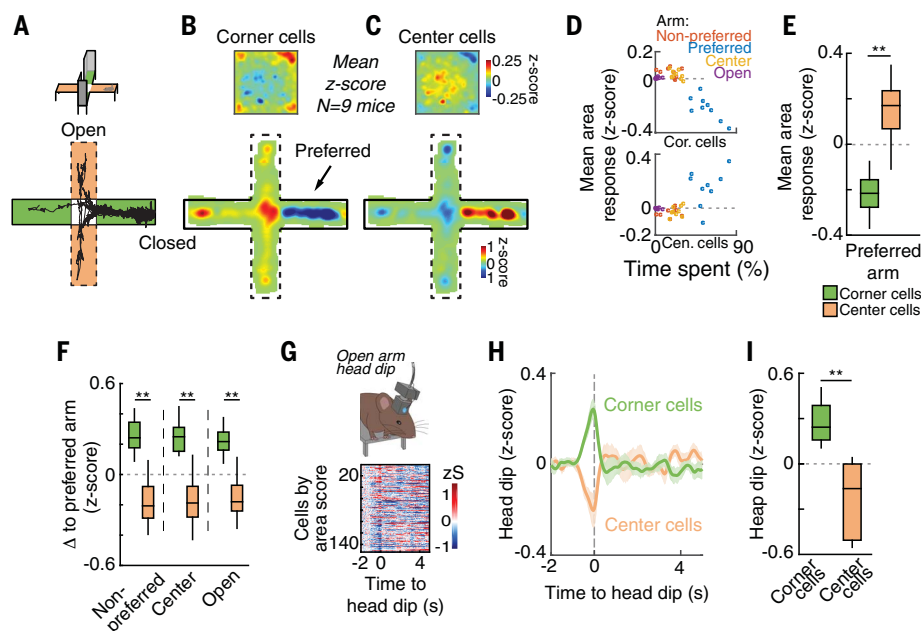
(ensemble 1, or corner-entry activated,  $27 \pm 4\%$  of all neurons), neutral (transition neutral,  $44 \pm 3\%$ ), or inhibited (ensemble 2, or corner-exit activated,  $29 \pm 4\%$ ) neurons (Fig. 4A; see also Fig. 2G). The activated and inhibited ensembles showed on average inverted relative changes in  $\text{Ca}^{2+}$  fluorescence upon corner exit (Figs. 2G and 4, A to C). We analyzed the activity of these three ensembles aligned on different behavioral transitions by using the same cluster partition. Consistent with the idea that ensemble 1 neurons encode a general state associated with exploratory behavior across animals, ensemble 1 cells were activated not only upon OF corner entries but also upon open-arm head-dip behavior (Fig. 4, A to C; see also Fig. 3, G to I). By contrast, ensemble 2 neurons exhibited the inverse pattern of activity modulation (Fig. 4, A to C). Notably, when this analysis was extended to freezing behavior (see Fig. 5A), a well-studied, distinctive defensive behavior induced by auditory FC, ensemble 1 and ensemble 2 neurons showed opposite activity changes when animals transitioned into a high-fear, freezing state and upon termination of freezing (Fig. 4A) (methods).

Because ensemble responses were averaged across many neurons ( $44 \pm 3$  cells per cluster), we verified that similar results are obtained for single-neuron responses by using an alternative response correlation measure. Single-neuron responses were aligned to the same behavioral transitions used for the ensemble analysis, and pair-wise correlation between responses to a

pair of behaviors was calculated across the entire neuronal population. Single-neuron responses to corner entry and corner exit were highly negatively correlated ( $r = -0.84$ ;  $P < 0.001$ ) (Fig. 4D). Correlations between single-neuron responses to corner entry, freezing end, and head dips were highly positive, whereas the correlations between responses to freezing start and corner-exit behaviors were significantly negative (Fig. 4E). These correlations were independent of the behavioral session (i.e., freezing during habituation or fear extinctions 1 and 2) (Fig. 4E).

### Orthogonal behavioral and sensory coding in BA neuronal ensembles

Next, we tested how the population coding of behavioral states in BA neurons relates to the population coding of sensory stimuli during the acquisition and extinction of classical Pavlovian FC. During conditioning, we paired a previously neutral pure tone [conditioned stimulus (CS); a 75-dB pure tone] with a mild electrical foot shock [unconditioned stimulus (US); 2-s 0.65-mA AC] (see methods). After conditioning, the animals showed increased freezing responses toward the US-paired CS (CS+), which extinguished upon repeated re-exposure to the CS+ (Fig. 5A). BA neurons were about two times more likely to respond to the US ( $57 \pm 3\%$  of all neurons, including excitatory and inhibitory responses;  $n = 9$  animals) (fig. S6, A to C) than to the conditioned stimuli ( $30 \pm 3\%$  of all neurons;  $n = 9$  animals;  $P = 0.004$ , Wilcoxon matched-pairs signed-rank test)



**Fig. 3. Corner- and center-modulated cells encode exploratory behavior.** (A) EPM behavior of the example animal shown in Fig. 1. Green areas represent closed arms, and orange areas represent open arms. (B) Average spatial  $\text{Ca}^{2+}$  activity map of OF corner- and center-modulated cells in the OF (top) and on the EPM (bottom) across animals. (C) Average spatial  $\text{Ca}^{2+}$  activity map of center- and corner-modulated cells in the OF (top) and on the EPM (bottom) across animals. Animals typically had a preferred closed arm, and EPM maps were rotated such that the most frequented closed arm is aligned on the right [arrow in (B)]. (D) Mean area responses in the preferred closed arm (blue), nonpreferred closed arm (red), EPM center (yellow), and open arms (purple) for the corner (cor.) (top) and center (cen.) (bottom) cells in relation to the relative time spent at each location. The mean spatial activities in relation to the time spent at the locations are inverted for corner- and center-modulated cells. (E) Average preferred-arm responses of corner and center cells (\*\* $P < 0.01$ , Wilcoxon matched-pairs signed-rank test) [(B) to (F) are based on non-time-normalized data]. (F) Response difference ( $\Delta$ ) for nonpreferred arms and open arms, as well as the center, compared with the preferred, home-base arm for corner- and center-modulated cells (\*\* $P < 0.01$  for all comparisons, Wilcoxon matched-pairs signed-rank test). (G) Illustration of head-dip behavior on EPM open arms (top) and example BA responses to head dips for 152 simultaneously recorded cells of one animal (bottom). Cell IDs are sorted by area score (see also Fig. 2A). zS, z-score. (H) Average responses of corner- and center-modulated cells upon EPM head dips. (I) Quantification of the peak response shown in (H) (\*\* $P < 0.01$ , Wilcoxon matched-pairs signed-rank test). Box-and-whisker plots indicate the median, the interquartile range, and the minimum to maximum values of the data distribution. All data are generated from  $n = 9$  animals.

(for selection criteria, see methods) and were more likely to be activated by the CS+ than by a control CS that was not paired with the US (CS−) (CS+,  $25 \pm 3\%$ ; CS−,  $8 \pm 1\%$ ;  $P = 0.004$ , Wilcoxon matched-pairs signed-rank test) (fig. S6D).

We classified neurons according to the evolution of their CS+ responses upon FC and fear extinction by using a supervised clustering approach (Fig. 5A and fig. S6A) (see methods). We found functionally distinct CS plasticity subtypes of BA principal neurons that were characterized by differential changes of CS+ responses during FC and extinction—for example, CS-down neurons (those for which the CS response decreased), fear neurons, extinction neurons, and extinction-resistant neurons (Fig. 5A and fig. S6, A and E). None of these CS plasticity subtypes were correlated with either of the behavioral state-related neuronal ensembles (fig. S7A), indicating that experience-dependent CS repre-

sentations are maintained across different behavioral states.

US responses were dynamic upon FC (Fig. 5B). Across the five CS-US pairings, a supervised cluster analysis (fig. S6B) identified different subtypes of US-excited neurons [ $32 \pm 2\%$  of all neurons, including those with stable US response activity (US-stable), those for which the response decreased (US-down), and those for which the response increased (US-up), as well as post-US neurons] and US-inhibited neurons [ $25 \pm 2\%$  of all neurons, including those with a stable inhibitory response and those for which the inhibitory response was decreased; compared with US-excited neurons,  $P = 0.129$ , Wilcoxon matched-pairs signed-rank test] (fig. S6, F and G). Despite the detailed classification of highly diverse and dynamic response patterns to the CS and US across the entire population of BA principal neurons, no significant overlap between

individual CS and US plasticity subtypes was observed (figs. S6H and S7, A and C; see also Fig. 4). Notably, US responses per se were not correlated with and were orthogonal to any of the tested behavioral states (Fig. 5C and figs. S6I and S7, B and C), indicating that US representations are not specifically associated with a particular behavioral state.

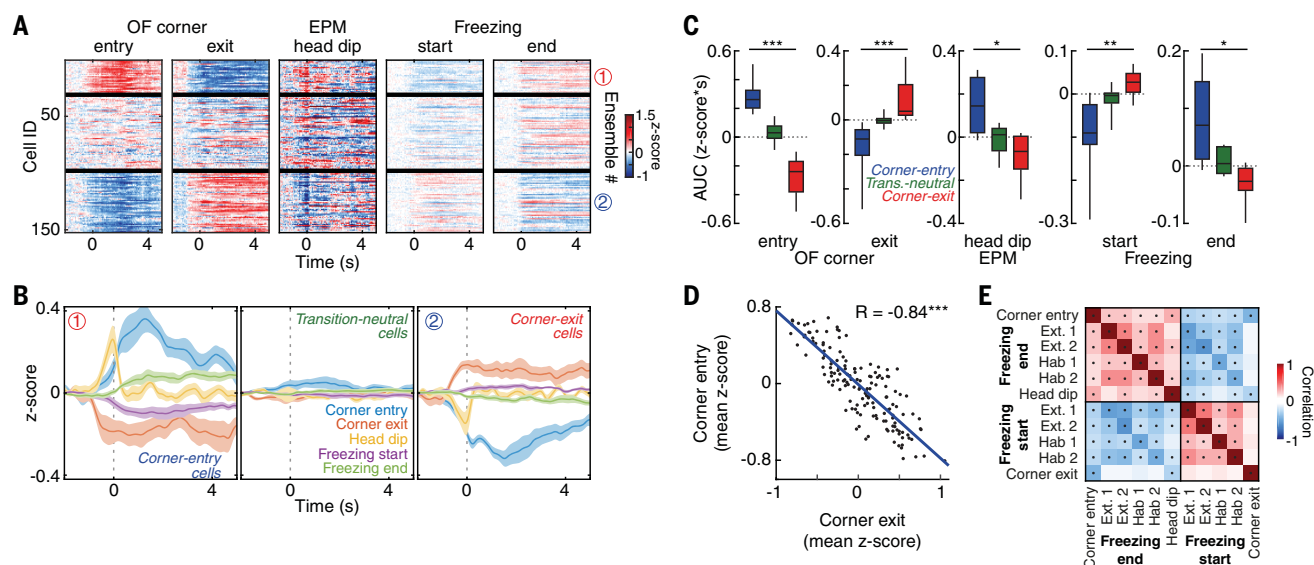
Before FC and after fear extinction, the population-level CS+ response was not correlated with exploratory or freezing behaviors, which is consistent with the neutrality of the CS+ response at these time points. After FC, the CS+ population response was weakly correlated with exploratory, low-anxiety behaviors (corner entries, freezing end, and head dips) (Fig. 5C). The CS− response followed the same pattern of correlations with behavior as the CS+ response, yet only correlations between the CS− responses after FC and freezing end were significant across animals. After FC, more CS+ responsive neurons overlapped with freezing start-inhibited neurons ( $32 \pm 5\%$ ), which are typically activated by exploratory behaviors (similar to ensemble 1) (see Fig. 4), than with freezing start-activated neurons [ $18 \pm 4\%$ , similar to ensemble 2;  $P = 0.029$ , Friedman test ( $P = 0.03$ ,  $F = 6.89$ ) with post hoc Dunn's multiple-comparison test] (Fig. 5, D and E; for US, see fig. S7B).

Lastly, we tested the hypothesis that the BA would transmit distinct behavioral state- or sensory-related representations to select downstream targets, including the ventral hippocampus (vHC), the medial prefrontal cortex (mPFC), or the nucleus accumbens (NAc). Although some specialization could be observed for individual pathways [typically CS-US coding related (49)], the population correlations with behavioral states were largely maintained across output pathways (fig. S8), suggesting that the BA broadcasts state-related signals to larger brain networks.

## Discussion

Our study reveals a coding principle of internal state representations in large, functional ensembles of BA neurons (fig. S9). By longitudinal imaging with a miniature microscope and three complementary analytic approaches across multiple paradigms in freely moving animals, we demonstrate large-scale opposing activity dynamics in two functionally distinct, anatomically intermingled ensembles of amygdala neurons that code for distinct behavioral states. This trackable neural population signature of state coding in amygdala ensembles was consistent across different behavioral paradigms and predicted transitions from exploratory behavior to nonexploratory defensive behavior and back.

During OF exploration, a large fraction of BA neurons conveyed area-modulated information about an animal's corner or center location. Notably, such areas are generally thought to correspond to the animal's global anxiety or stress levels (45, 50–52). In this classical interpretation, anxious animals would spend most of the time in the corners of the OF or in the closed arms of the EPM. The administration of anxiolytics



**Fig. 4. State coding of BA neuronal ensembles across behavioral paradigms.** (A) Average response patterns of OF corner entry and corner exit, EPM head dips, and freezing start as well as freezing end for cells clustered on the basis of OF corner-entry responses (top). (B) Average cluster response across animals. (C) Quantification of the average cluster responses aligned to OF corner entry [z-score, corner-entry cells (ensemble 1) =  $0.28 \pm 0.04$ ; transition-neutral (trans.-neutral) cells =  $0.03 \pm 0.02$ ; corner-exit cells (ensemble 2) =  $-0.27 \pm 0.05$ ] ( $P < 0.001$  for corner-entry cells versus transition-neutral cells), to corner exit (corner-entry cells =  $-0.16 \pm 0.05$ , transition-neutral cells =  $-0.003 \pm 0.01$ , corner-exit cells =  $0.11 \pm 0.04$ ;  $P < 0.001$  for corner-entry cells versus transition-neutral cells), to EPM head dips (corner-entry cells =  $0.15 \pm 0.04$ , transition-neutral cells =  $-0.01 \pm 0.02$ , corner-exit cells =  $-0.09 \pm 0.03$ ;  $P = 0.014$  for

corner-entry cells versus corner-exit cells), and to freezing start (corner-entry cells =  $-0.09 \pm 0.03$ , transition-neutral cells =  $-0.01 \pm 0.01$ , corner-exit cells =  $0.03 \pm 0.01$ ;  $P = 0.003$ ) as well as freezing end (corner-entry cells =  $0.08 \pm 0.02$ , transition-neutral cells =  $0.01 \pm 0.01$ , corner-exit cells =  $-0.03 \pm 0.01$ ;  $P = 0.020$ , all Friedman test with post hoc Dunn's multiple-comparison test). \* $P < 0.05$ ; \*\* $P < 0.01$ ; \*\*\* $P < 0.001$ . (D) Single-cell correlation of corner-entry and corner-exit responses of one example animal ( $n = 152$  cells,  $r = -0.84$ ,  $P < 0.001$ ). (E) Average correlation matrix of single-cell responses across different behavioral paradigms (dots indicate a significant difference of the correlation from 0 across animals by a one-sample  $t$  test). Ext., extinction; hab., habituation. Box-and-whisker plots indicate the median, the interquartile range, and the minimum to maximum values of the data distribution. All data are generated from  $n = 9$  animals.

reduces global anxiety levels and increases OF center times, as well as EPM open-arm times, while foot shock-induced freezing is reduced (53–56). If BA ensembles track global anxiety, this would suggest a model where BA activity is similar during center entries, in EPM open arms, and at freezing start or, vice versa, similar during corner entries, in EPM closed arms, and at freezing end. The amygdala would act as an anxiometer, or anxiety classifier (fig. S10A). However, the two large opposing BA ensembles that we identified do not align with this expectation but rather reflect moment-to-moment changes in the exploratory state of the animal, suggesting that the animal's exploratory state is the most parsimonious, common denominator of the observed ensemble activity (fig. S10B). Nevertheless, moment-to-moment changes in exploratory behavior are likely to be correlated with relative changes in different aspects of brain state, including anxiety, arousal, sensory processing, and action selection. We found that the location-specific activity of corner cells is stable across days and contexts. Nevertheless, it is eliminated and even reversed in high-fear, freezing states, indicating that BA ensembles are not encoding spatial location per se.

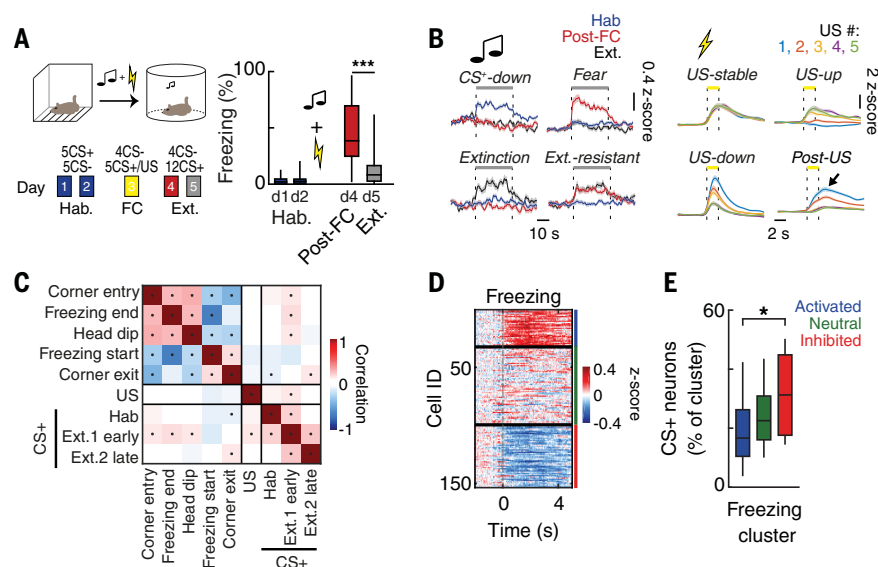
State changes manifest in changes of behavioral outputs, which inherently affect an animal's motion and speed. Nevertheless, the observed

neural state signatures cannot be explained exclusively by changes in the movement parameters of the animal. Each individual state ensemble (e.g., corner-in versus corner-out) contained a large number of non-speed-correlated cells as well as similarly sized subsets of positively and negatively speed-correlated cells. Yet, upon spatial transitions (e.g., corner entry), within-ensemble activity was homogenous and direction selective, while being independent of transition speed. Furthermore, state ensemble coding was similar in stationary (e.g., head-dip and stretch-attend) and nonstationary exploratory behaviors. The target location of the movement or the exploratory character of distinct stationary behaviors dominated the speed modulation of individual subsets of cells. This rules out the possibility that the discovered state ensembles were solely motion related. Our findings in freely moving animals extend the concepts of cortical state coding classically related to movement- and arousal- or attention-associated processes in the brain state (57–63) toward dynamic exploratory and affective state representations by large neural ensembles in subcortical circuits that may include diverse patterns of physiological, sensory, and locomotion processes.

Consistent state-dependent changes in the activity of single cells suggest a fixed-state ensemble membership of individual neurons and

a homogenous, state-dependent regulation of individual neuron activity within a given state ensemble. Changes in population activity were characterized by slow (seconds-long), continuous dynamics in defined ensembles and aligned with behavioral transitions, reminiscent of a potential amygdala attractor network state, previously suggested to underlie internal states (24). Slow changes in amygdala ensemble activity that emerged as a basic structure on the population level were predictive of changes in exploratory behaviors across days and paradigms (fig. S11). Such slow regulation of antagonistic BA ensemble activity might involve state-dependent changes in input activity, neuromodulation, and local circuit mechanisms (64–67) and can now be tested for generality in other freely moving behavioral paradigms.

Similar to previous studies, we found different types of CS-plastic neurons upon FC and extinction (35, 36, 38, 68, 69). Despite being encoded by amygdala neuronal populations (38), sensory responses were correlated only partially (CS) or not at all (US) with the coding of behavioral states. In line with previous single-unit data (70, 71), this suggests that, as in the cortex (58, 59), fast sensory dynamics and slow state dynamics can be orthogonally separated at the level of amygdala networks, which would allow for continuous population-level state encoding despite flexible



**Fig. 5. Orthogonal representations of sensory stimuli and behavioral states.** (A) Auditory FC paradigm and average CS+ freezing (post-FC freezing,  $44 \pm 6\%$ ; post-extinction freezing,  $13 \pm 3\%$ ;  $***P < 0.001$ , Wilcoxon matched-pairs signed-rank test). Hab., habituation; Ext., extinction; d, day. (B) (Left) Average CS+ responses of CS-down, fear, extinction, and extinction-resistant neurons. (Right) Average US responses of US-stable, US-up, US-down, and post-US excited neurons. (C) Single-cell correlation matrix of behavioral responses as well as CS and US responses across animals (dots indicate significant correlations across animals). (D) Clustered freezing responses of 152 neurons of one example animal. The color bar indicates the z-score. (E) Average proportion of CS+ and freezing cluster-responsive neurons out of the total population [CS+ and freezing activated =  $18 \pm 4\%$ , CS+ and freezing neutral =  $24 \pm 3\%$ , CS+ and freezing inhibited =  $32 \pm 5\%$ ;  $*P$ (activated versus inhibited) = 0.029, Friedman test ( $P = 0.03$ ,  $F = 6.89$ ) with post hoc Dunn's multiple-comparison test]. Box-and-whisker plots indicate the median, the interquartile range, and the minimum to maximum values of the data distribution. All data are generated from  $n = 9$  animals.

single-cell representations of sensory inputs (72). Additionally, biased representations of emotionally salient stimuli in freezing-inhibited neurons may represent possible circuit mechanisms to substantially increase the signal-to-noise ratio and enhance the animal's ability to rapidly and reliably select appropriate behavioral reactions when facing danger. Beyond freezing, the concurrent signaling of both the CS and the learned, conditioned responses (CRs) in the BA (73) would not only allow for a flexible, state-driven modulation of CS representations but also enable appropriate CR selection in a state-dependent manner.

Previous reports identified defined BA output pathways with specific behavioral functions (35–37, 74, 75). Correlations of responses to the behavioral state transitions were by and large conserved across major BA output pathways, including projections to the vHC, the NAc, and the mPFC, despite individual differences between CS and US coding in these projections. Although we cannot rule out projection-target differences of state coding in relation to local gamma entrainment (67), the interactions between BA state signals and defined up- and downstream ensembles remain unknown (42, 76). Nevertheless, broadcasting of amygdala state signals to larger brain networks, including cortical and subcortical areas, is likely to play an important role for state-dependent regulation

(27, 30, 40, 77–79), with a potential to broadly modulate brain state (2, 59) and internal drive and generally modify affective states and behaviors. Our findings extend the concept of classical thalamocortical brain-state coding toward affective and exploratory states and provide an entry point into the state dependency of brain function and behavior in defined brain circuits.

## Materials and Methods

### Animals and viruses

Male C57BL/6J mice (C57BL/6JRCcHsd; Envigo) were used throughout the study. Viruses were purchased from Penn Vector Core (AAV2/5. CaMKII.GCaMP6f.WPRE.SV40; titer,  $2.49 \times 10^{13}$ /ml) or custom-made in the case of the retrograde adeno-associated virus (retroAAV) (80) (retroAAV.EF1a.GCaMP6f.WPRE; Georg Keller, FMI Vector Core; titer,  $3.9 \times 10^{11}$ /ml). rAAV2-retro helper was a gift from Alla Karpova and David Schaffer (Addgene plasmid 81070). retroAAV virus was supplemented with blue nonretrograde polymer microspheres (1:2400; Duke Scientific) to label injection sites. All animal experiments were performed in accordance with institutional guidelines and permitted by the authorities of the canton Basel-Stadt.

### Surgeries

Eight-week-old male mice were anesthetized (with isoflurane) and placed in a stereotactic

frame (Kopf Instruments). Three hundred nanoliters (for retroAAV.EF1a.GCaMP6f injection into the vHC, mPFC, or NAc) or 500 nl (for AAV2/5.CaMKII.GCaMP6f injection into the BA) of virus was injected into the respective target area by using a glass pipette connected to a picospritzer during a stereotactic brain surgery under isoflurane anesthesia (1 to 2%). One week after virus injection, the animals underwent a second surgery for gradient refractive index (GRIN) lens implantation. An 800- $\mu$ m-diameter craniotomy was drilled above the BA. Next, a small track was cut with a 0.7-mm sterile needle through the hole and cortex to aid GRIN lens insertion. A 600- $\mu$ m-diameter GRIN lens (Inscopix) was then slowly advanced into the BA ( $\sim 4.4$  mm ventral to the pia surface). The GRIN lens was fixed to the skull with light curable glue (Loctite 4305; Henkel), and the skull was sealed with Scotchbond (3M), Vetbond (3M), and dental acrylic (Paladur; Kulzer). A custom-made head bar for animal fixation during miniature microscope mounting was attached. Animals were provided with analgesia (buprenorphine and ropivacaine), and their well-being was monitored throughout the experimental period.

### Behavioral paradigm

Animals were single-housed on a 12-hour light cycle, and behavioral experiments were performed during the light period. Anxiety tests and FC were combined in the following manner on seven consecutive days: OF test, EPM test, habituation 1, habituation 2, FC, extinction 1, and extinction 2. The OF test was performed in a 40-cm by 40-cm by 40-cm plastic box with an evenly distributed light intensity of 24 lux. The EPM was composed of two orthogonal open and closed arms (30 cm each) and a center zone (6 cm) elevated at 55 cm above the ground. Mice were allowed to freely explore the OF and EPM for 10 to 15 min. Habituation and extinction experiments were performed in a 23-cm-diameter circular plexiglass arena. Mice were presented with 5 CS+ and 5 CS– stimuli (6 or 12 kHz, counterbalanced) during the habituation sessions and 4 CS– and 12 CS+ stimuli during the extinction session. The CS comprised 27 tone pips, each pip 200 ms in duration and 75 dB in amplitude, presented at a rate of 1.1 Hz (Tucker Davis Technologies, TDT 78). FC was performed in a 26-cm-wide square plexiglass context with a Coulbourn shock grid. After termination of the CS+, the mouse received a 2-s-long, 0.65-mA AC foot shock 1.1 s after the last tone pip. Behavioral experiments were performed and recorded by using Sort Client (2.7.0), Radiant (2.0.0), and CinePlex (3.4.1) software in combination with the CinePlex and MAP data acquisition systems (all Plexon). Animals were perfused with 4% paraformaldehyde in phosphate-buffered saline at the end of the behavioral experiment, and brain slices (150  $\mu$ m thick) were cut with a vibratome (Leica) and visualized by confocal microscopy (with a Zeiss LSM700 instrument) to verify GRIN lens location and imaging sites. Animals that lacked detectable expression of

GCaMP6f (a genetically encoded calcium indicator) before baseplate mounting or that had off-target viral injections were excluded from analysis.

### Imaging experiments

Ca<sup>2+</sup>-imaging studies in freely moving mice were performed via the implanted GRIN lens by using a miniaturized microscope (nVista, V2, nVista HD 2.0.4; Inscopix) (43). Microscope baseplates were glued to the dental acrylic ~1 week before the experiment, and mice were habituated to the microscope attachment procedure before behavioral experiments. Miniature microscopes were mounted onto the mouse's head right before each behavioral experiment by using a custom mounting station. Images were acquired at 1024 pixels by 1024 pixels and at a frame rate of 20 Hz. Imaging parameters were set at 20 to 80% light-emitting diode (LED) intensity (0.4 to 1.7 mW, 473 nm) and a gain of 1 to 2 depending on GCaMP6f expression levels.

### Data analysis

#### Behavior

Behavioral data were manually scored (for EPM head dips, defined as brief periods of distinct downward movement of the mouse's head over the edge of the open arms, and for OF rearing) or automatically tracked by top-down movies using CinePlex Studio and Editor software (Plexon). Freezing was assessed by using the two-dimensional (2D) motion data and Freezing Analysis plug-in of Editor (Plexon) (minimum absence of movement, 2 s, with the threshold adjusted on a case-by-case basis) combined with additional manual post hoc checks for non-freezing but stationary behaviors (e.g., grooming). OF test and EPM 2D locations were tracked offline. OF locations were defined as follows: center, the center quarter of the OF area, and corners,  $1/16$  of the OF area measured from each corner of the arena. Corner-entry and -exit times were detected as the animal's crossings of the corner area outlines ( $36 \pm 7$  transitions per animal) with a minimum time of 0.5 s in each area before and after the transition to exclude events that were nondirected outline crossings (e.g., lingering on the zone border). The number of corner transitions and EPM closed- and open-arm times, as well as center location times, were calculated by using Editor (Plexon) and Matlab.

#### Ca<sup>2+</sup> imaging

Raw imaging movies were preprocessed and normalized by using a fast Fourier transform band-pass filter in ImageJ. For normalization, each image was divided by its filtered image. After preprocessing, the movies were spatially down-sampled by a factor of four. The movies of all individual experimental days were then concatenated and motion corrected across all frames in Matlab by using the TurboReg algorithm (87). Single-cell regions of interest (ROIs) were extracted by using a combination of principal components analysis and independent component analysis with post hoc independent

component truncation at 50% peak intensity (38, 82). ROI size was limited to a maximum diameter of 30 pixels (~60  $\mu$ m). ROIs were then overlaid with a maximum-intensity projection of the raw movie and were excluded if they overlapped with noncellular components (e.g., the edge of the GRIN lens or blood vessels) or if multiple ROIs were detected for the same maximum-intensity projection of the same neuron. Pixels within ROIs were normalized and cut at 50% of the maximum ROI pixel intensity. Fluorescence traces for each ROI were extracted as the average pixel intensity within the normalized ROI projected along the filtered and motion-corrected 20-Hz raw fluorescence movie. Traces that failed to pass quality criteria upon visual inspection across sessions (those dominated by sharp, negative transients) were excluded. We typically retained 44% of ROIs [number of initial independent components (ICs), 300] after applying the above-mentioned exclusion criteria.

Before further analysis, linear trends across an entire session were removed from the Ca<sup>2+</sup> traces and *z*-scores of the detrended traces were used as the activity traces for all further calculations.

#### Area scores

The area bias in single-neuron responses was calculated by using the average spatial activity map of each neuron: the total activity in a specific *x-y* location normalized by the total time the animal spent in that location. *x-y* data were discretized in 256 pixels and smoothed with a 2D Gaussian kernel that was  $1/64$  the arena size. The difference between the total normalized activation in the corners (defined as above) and that in the center was used as a measure of the bias. We calculated the area score for all neurons in the dataset and set the threshold at 1 standard deviation around zero to capture the behavior of the distribution tails. This threshold was calculated once for the entire population of neurons and applied to each mouse. To create the temporally shifted dataset, behavioral time courses were circle-shifted by a random amount relative to the neuronal activity time course, and area scores were calculated for 1000 random shifts for each animal.

#### Area decoding

We used support vector classification with a quadratic kernel for all decoders. The animal location was determined in 1-s bins as center, corners, or walls according to the definitions above, and bins with nonconsistent behavior were excluded from analysis. To avoid very unbalanced designs, if an animal spent less than 15% of the session in the corner, the decoder was trained and tested on the times the mouse was either in the corner or in the center. Likewise, if the animal spent less than 15% of the session in the center, the animal location was defined as corner versus noncorner locations (center or walls) and the decoder was trained and tested on this distinction. To control for the local dependencies in both the behavioral

data and the neuronal responses, we repeated the decoding training procedure with the behavior circularly rotated relative to the neuronal activity. Maintaining the signal's local structure resulted in a consistent modest drop in decoding performance that was highly significant (decoding performance on real data was higher than performance on shifted data in  $99.8 \pm 0.2\%$  of all possible shifts) (see fig. S2L).

#### Neuronal response analysis and clustering

Single-neuron responses to corner entry were averaged for each neuron in a time window from 2 s before transition to 5 s after. The mean responses of all neurons from each animal were then clustered into three groups by using *k*-means clustering with Euclidean distance between the mean response traces as the distance measure. The result was a cluster of generally activated neurons (ensemble 1), a cluster of generally inhibited neurons (ensemble 2), and cells with weak or mixed responses (a neutral cluster) for each animal. The same procedure was used to define three functional ensembles on the basis of mean responses aligned to the freezing start period (Fig. 5D).

CS and US responses were analyzed by using a combined statistical and supervised cluster analysis. First, CS- and US-responsive cells were identified as significantly responsive if their binned Ca<sup>2+</sup> fluorescence (CS, 1-s bins,  $\pm 30$ -s window around CS onset; US, 1-s bin,  $\pm 14$ -s window around US onset) during the stimulus was significantly increased (CS) as well as significantly increased or decreased (US) (Wilcoxon signed-rank test, alpha-level, 0.01) compared with baseline conditions in at least three or two stimulus presentations for the US or CS, respectively (on at least one experimental condition for CS responses). This minimum number of sensory responses allowed a reliable detection of CS and US plasticity profiles instead of merely general responsiveness across all days, without being too sensitive for random Ca<sup>2+</sup> responses during individual tone presentations. Responses for the CS were collected to tones 1 to 5 in the two habituation sessions and in the first fear extinction session (Ext. early) and to tones 8 to 12 in the second fear extinction session (Ext. late). The average neuronal response across both habituation sessions was used as the habituation response of the cells. Next, we used a supervised clustering approach on the subset of significantly responsive cells to identify different subtypes of CS- and US-responsive neurons. Neuronal responses were collected in time windows of  $-2$  s to 15 s and  $-10$  s to 30 s around US and CS onset, respectively. Principal components analysis was performed on the concatenated responses to the five US stimuli in the FC session to identify the dynamics in the US responses within this session. Responses were then projected on the first four principle components (>60% variance explained), and *k*-means clustering was performed (*k* = 11, cosine distance). We then manually joined clusters with similar response profiles in relation to the six response

types described above (Fig. 5 and fig. S7). This procedure was replicated for the CS responses after averaging responses to the five selected tones in the habituation and extinction sessions as described above, to identify the different dynamics in CS responses along the conditioning paradigm (36, 38).

### Correlation analysis

Average responses aligned to the onset of different behaviors were calculated in the different sessions: corner entry (OF), corner exit (OF), freezing period start and end (habituation and extinction), and head dips (EPM). Response to freezing and corner entry or exit was quantified as the difference between the mean response in the 2-s time window starting 1 s after behavior onset (response) and the 2-s time window ending 1 s before onset (baseline). Head dip was quantified as the difference between the mean response in the 1-s time window starting 0.8 s before behavior detection (response) and the 1-s time window ending 1 s before behavior detection (baseline), because of the different dynamics of the behavior. CS and US responses were quantified with similar baseline periods, defined as the 2-s time window ending 1 s before stimulus onset, and a response period defined as the 2 s after onset (US) or the 9 s starting 1 s after onset (CS). Pearson's correlation was calculated between the neuronal responses to each pair of behaviors for each animal.

### Statistics

Statistical analysis was performed with Prism 7 (GraphPad) and Matlab (Mathworks). Values are represented as the mean  $\pm$  SEM unless stated otherwise. Box-and-whisker plots indicate the median, the interquartile range, and the minimum to maximum values of the data distribution. Normality of the data was not assumed, and all tests were nonparametric tests. Statistical tests and test statistics are mentioned in the text and figure legends. \*, \*\*, and \*\*\* indicate *P* values smaller than 0.05, 0.01, and 0.001, respectively.

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## SUPPLEMENTARY MATERIALS

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Figs. S1 to S11

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## Amygdala ensembles encode behavioral states

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### Neuron activity across the brain

How is it that groups of neurons dispersed through the brain interact to generate complex behaviors? Three papers in this issue present brain-scale studies of neuronal activity and dynamics (see the Perspective by Huk and Hart). Allen *et al.* found that in thirsty mice, there is widespread neural activity related to stimuli that elicit licking and drinking. Individual neurons encoded task-specific responses, but every brain area contained neurons with different types of response. Optogenetic stimulation of thirst-sensing neurons in one area of the brain reinstated drinking and neuronal activity across the brain that previously signaled thirst. Gründemann *et al.* investigated the activity of mouse basal amygdala neurons in relation to behavior during different tasks. Two ensembles of neurons showed orthogonal activity during exploratory and nonexploratory behaviors, possibly reflecting different levels of anxiety experienced in these areas. Stringer *et al.* analyzed spontaneous neuronal firing, finding that neurons in the primary visual cortex encoded both visual information and motor activity related to facial movements. The variability of neuronal responses to visual stimuli in the primary visual area is mainly related to arousal and reflects the encoding of latent behavioral states.

*Science*, this issue p. eaav3932, p. eaav8736, p. eaav7893; see also p. 236

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## Supplementary Materials for

### **Amygdala ensembles encode behavioral states**

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Mark J. Schnitzer, Andreas Lüthi<sup>†</sup>

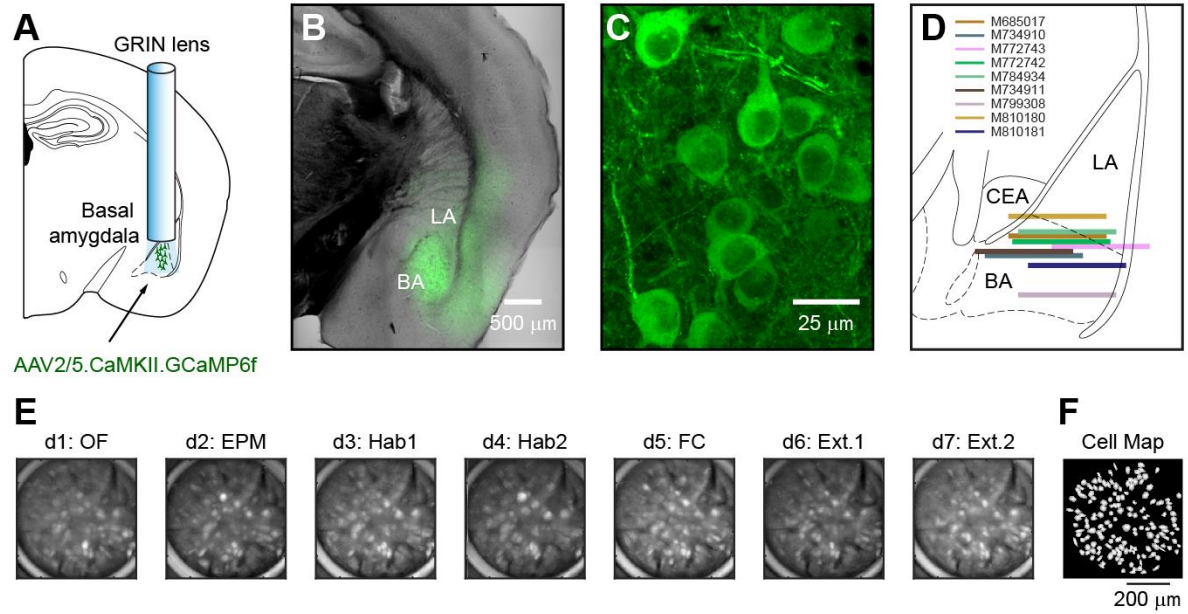
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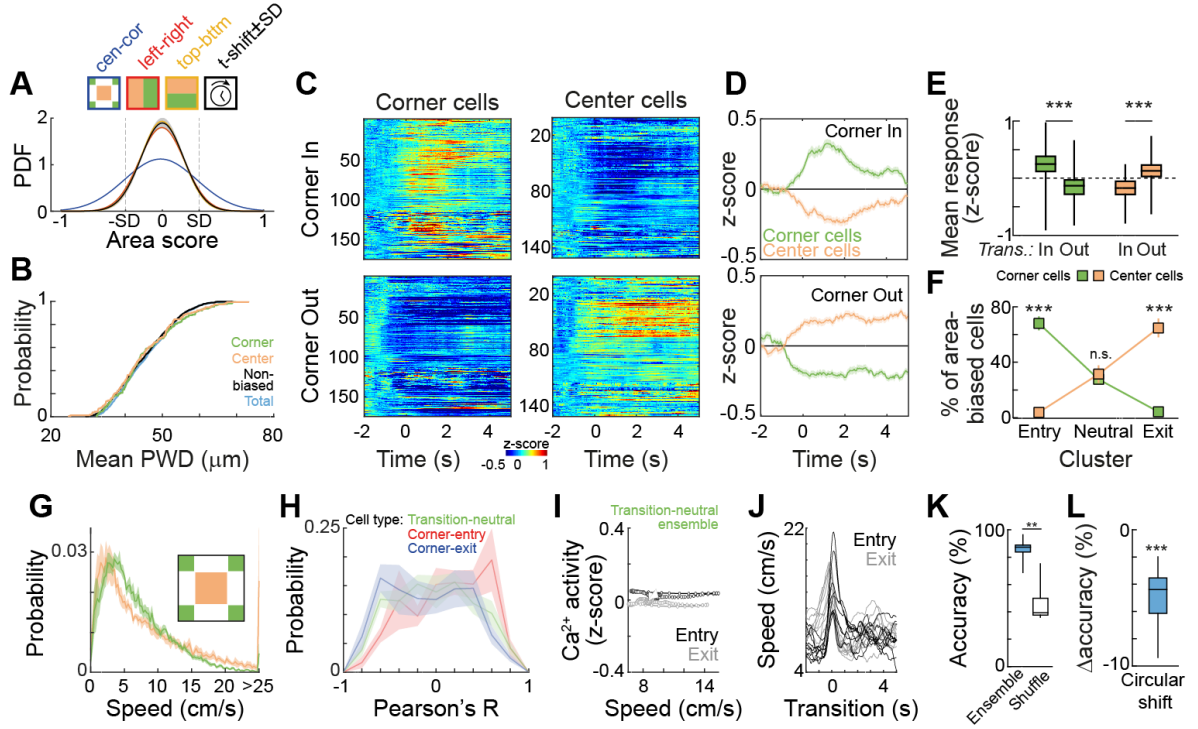
**This PDF file includes:**

Figs. S1 to S11



**Fig. S1. Deep brain imaging of basal amygdala neurons in freely moving animals.**

**A)** Anatomical scheme of virus injection and GRIN lens location. **B)** Anatomical overview (transmission PMT) of GCaMP6f expression (green) in basal amygdala neurons. **C)** Individual basal amygdala neurons expressing GCaMP6f (maximum intensity projection). **D)** GRIN lens front location of animals expressing GCaMP6f non-specifically in large populations of basal amygdala neurons under the control of the CaMKII promotor (N = 9 mice). **E)** Aligned miniature microscope field of view across seven recording days. OF: open field test. EPM: elevated plus maze test. Hab1/2: habituation day 1/2. FC: fear conditioning day. Ext. 1/2: Extinction training day 1/2. **F)** Map of identified cell regions of interest (ROI). ROIs were identified based on the aligned concatenated imaging movies across all experimental days.



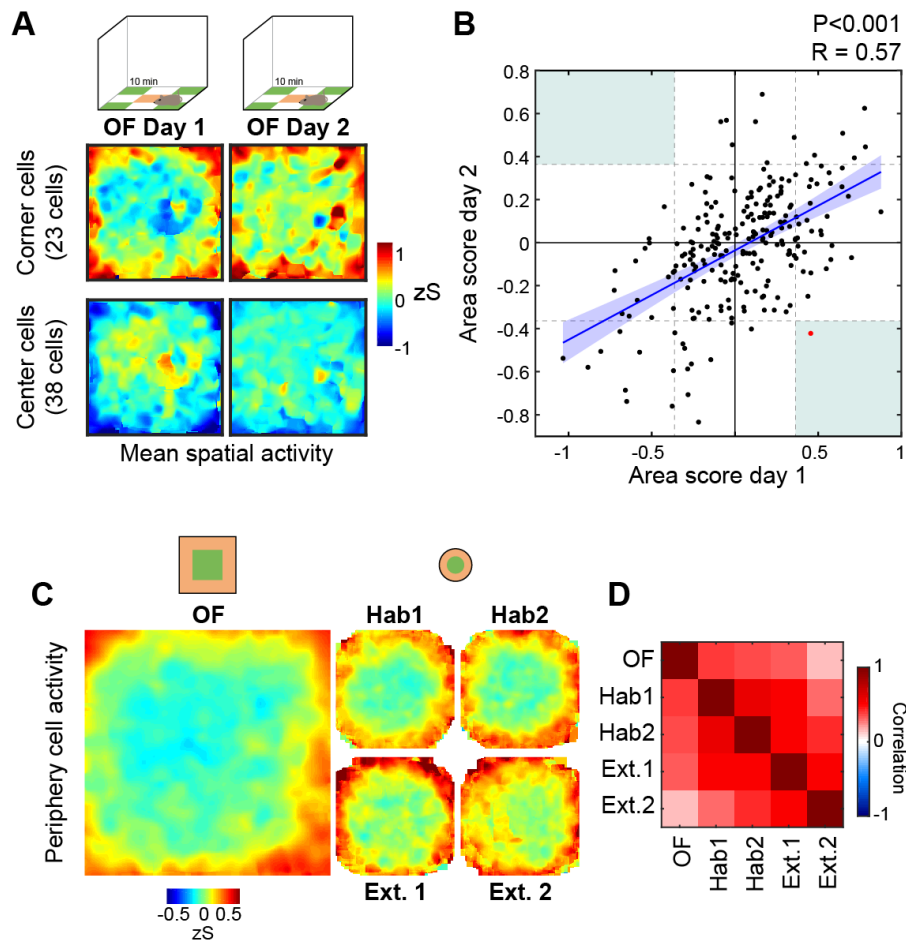
**Fig. S2. Corner and center cell location, responses upon area transition and speed correlations.**

**A)** Probability density function (Gaussian fit) of area scores based on differential activity in centers vs. corners (cen-cor, blue) as well as neutral divisions of the open field (left-right, red; top-bottom, yellow,  $n = 1201$  cells out of  $N = 9$  animals, plotted  $SD =$  standard deviation of cor-cen,  $SD(\text{cor-cen}) = 0.36$ ,  $SD(\text{left-right}) = 0.22$ ,  $SD(\text{top-bottom}) = 0.21$ , Brown-Forsythe Test:  $F = 177.4$ ,  $P = <0.001$ ) or temporally shifted data (black  $\pm SD$ , 100 random circular temporal shifts,  $SD(\text{t-shift}) = 0.21 \pm 0.01$ , all shifts had lower  $SD$ s compared to  $SD(\text{cor-cen})$ ).

**B)** Cumulative probability distribution of the mean cellular pairwise distance of all corner cells, center cells, non-biased cells and the total cell population reveals that the three cell groups are not spatially clustered (Corner vs. total:  $P = 0.299$ , Center vs. total:  $P = 0.414$ , Non-biased vs. total:  $P = 0.276$ , Kolmogorov-Smirnov test).

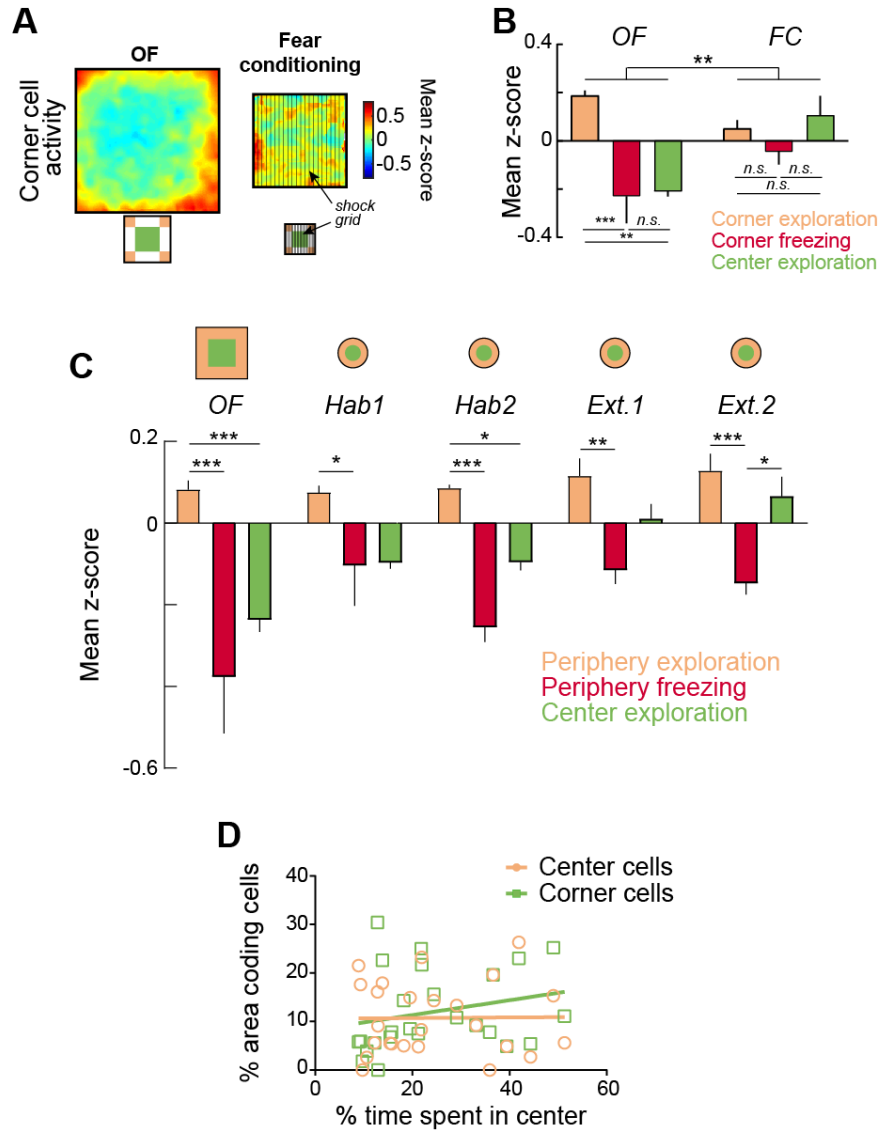
**C)** Average response of all corner and center cells upon in corner and out corner transitions. **D)** Average corner (green) and center (orange) cell responses to in corner (top) and out corner (bottom) transitions (mean  $\pm$  s.e.m of all corner and center cells). **E)** Average corner and center cell responses to transitions in and out of the corner

(Corner cell: In =  $0.25 \pm 0.02$  z-score, Out:  $-0.16 \pm 0.02$  z-score,  $P < 0.0001$ ,  $N = 174$  cells; Center cell: In =  $-0.18 \pm 0.01$  z-score, Out:  $0.15 \pm 0.01$  zS,  $P < 0.0001$ ,  $N = 155$ , Wilcoxon matched-pairs signed rank test). **F)** Distribution of corner and center cells across different clusters based on in corner transitions ( $N = 9$  animals, Corner entry cluster: Corner vs. Center cells:  $P < 0.001$ , Transition neutral cluster: Corner vs. Center cells:  $P = 0.927$ , Corner exit cluster: Corner vs. Center cells:  $P < 0.001$ , Test: 2-way ANOVA with Sidak's multiple comparisons post hoc test). **G)** Distribution of animal speed in the corners and center of the open field arena (mean  $\pm$  s.e.m of the distributions of  $N = 9$  animals). **H)** Distribution of individual cell activity correlations with speed for Corner-entry, Corner-exit and Transition-neutral ensembles (mean  $\pm$  s.e.m of the distributions of  $N = 9$  animals). **I)**  $\text{Ca}^{2+}$  activity in relation to corner entry (black) and corner exit (grey) transition speed for the transition-neutral ensembles (see Fig. 2G; average response profile for  $N = 9$  animals). Triangles mark the start of the transition. **J)** Mean speed profile upon corner entry (black) and corner exit (grey) for all 9 animals. **K)** Spatial decoding accuracy of corner and center location in actual and time-shuffled data ( $P = 0.004$ , Wilcoxon matched-pairs signed rank test). **L)** Average change in decoder accuracy ( $-5.0 \pm 0.7$  %) upon circular temporal shift of neuronal activity ( $N = 9$  animals,  $P = 0.001$ , One sample T-Test). Box and whisker plots indicate median, interquartile range and the minimum to maximum values of the data distribution.



**Fig. S3. Location specific activity is stable upon OF re-exposure.**

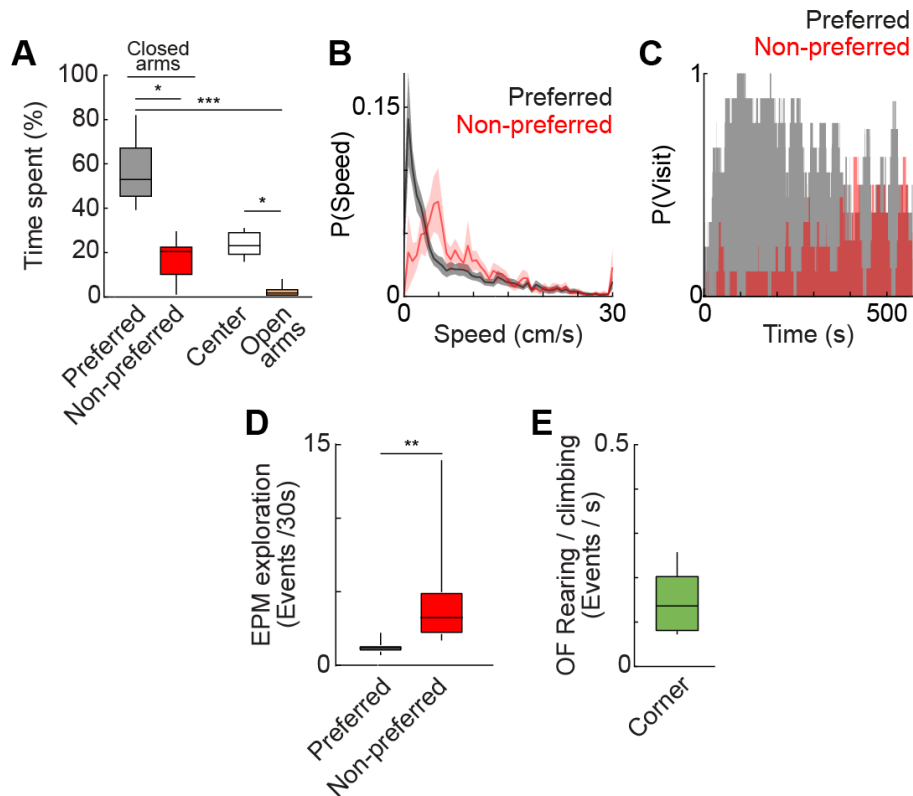
**A)** Two day open field (OF) re-exposure paradigm. Average cell activity maps of corner (top) and center (bottom) cells on day 1 (left) and day 2 (right) of open field exposure. **B)** Area score correlation of all recorded cells (area coding and non-coding cells, black dots) on day 1 and day 2 ( $n = 240$  cells from  $N = 3$  mice). Line: linear fit with 95 % confidence bounds. 79 % of identified corner- and center cells displayed stable area score membership across days (48 out of 61 area coding cells). Dashed lines: area score thresholds. Only 1 cell out of 61 area coding cells changed area score category (red). **C)** Spatial maps of corner cell activation across sessions. Corner cells were defined based on their activity during the OF session. **D)** Correlation between the area scores calculated during the different sessions, averaged across animals ( $N = 9$ ). Neural activity along the walls was used for calculating the area scores in the circular arena (see top panel C for illustration).



**Fig. S4. Corner cell coding is dynamic and suppressed during momentary high fear states, yet its strength is independent of global anxiety levels.**

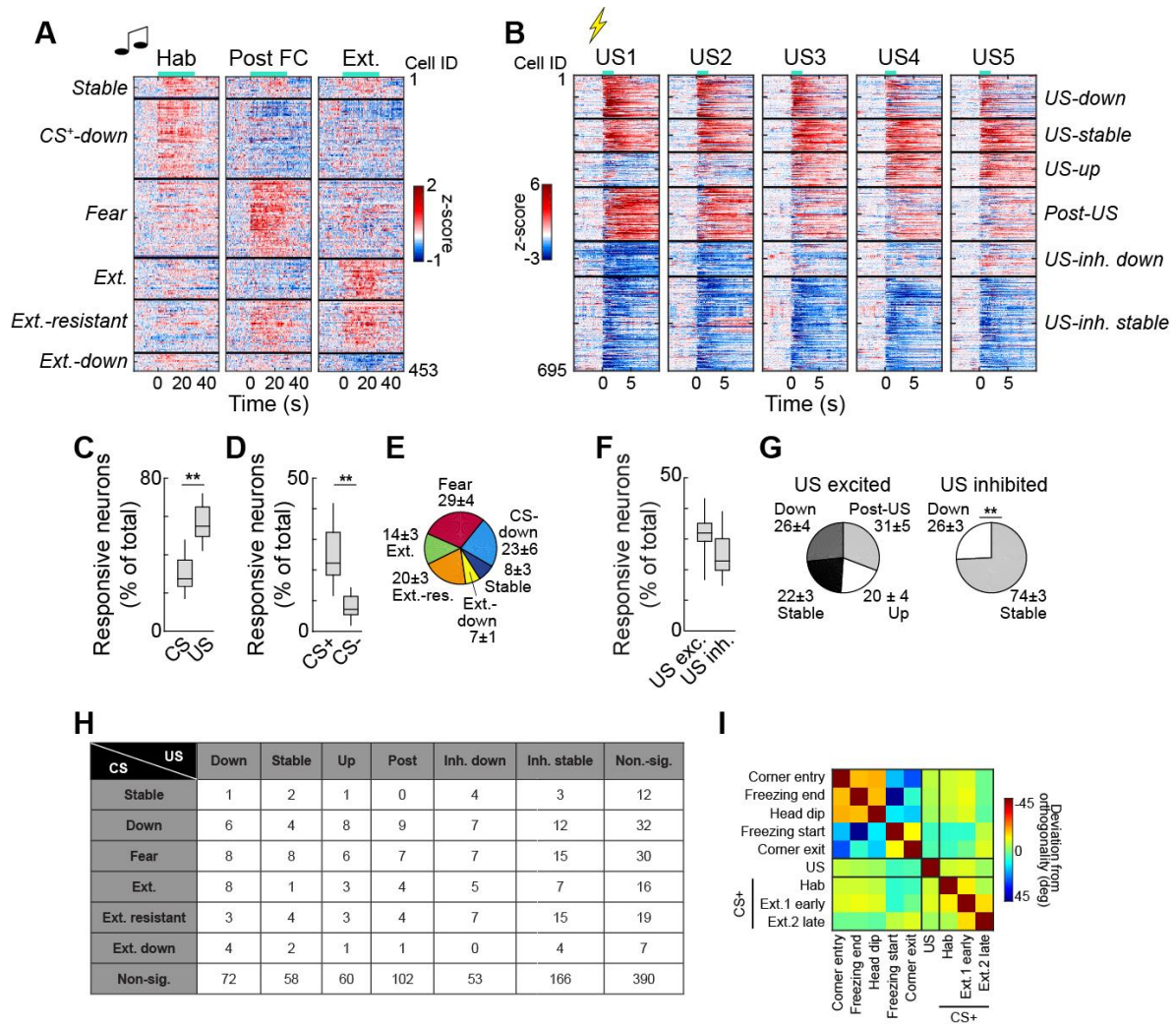
**A)** Spatial maps of corner cell activity across OF and FC sessions. Corner cells were defined based on their activity during the OF session. **B)** Mean corner cell activity in the corners during exploration (orange), freezing (red) and in the center (green) of the open field. Corner cell coding was suppressed upon freezing in the OF and dissociated during the fear conditioning session, which is characterized by a global defensive state (N = 7; two animals were excluded due to lack of freezing in the corners of the OF session,  $F(1,12) = 10.04$ ,  $P = 0.0081$ , Sidak's multiple comparison test). **C)** Mean corner cell activity in the periphery during exploration of

the periphery (orange), freezing in the periphery (red) and exploration in the center (green) of the square open field as well as the circular arena of the habituation and extinction context (see Fig. S3C). Corner cell coding is suppressed upon freezing in the periphery of the OF as well as the habituation and extinction context ( $N = 9$ ,  $F(4,40) = 6.021$ ,  $P < 0.001$ , only significant P-values are indicated, Tukey's multiple comparison test). In contrast to the conditioning session, location-specific activity is preserved, yet suppressed in the defensive state. **D)** Correlation analysis of % of corner and center cells in relation to the animals center behavior. Center cells:  $R^2 < 0.01$ ,  $P = 0.996$ , Corner cells:  $R^2 = 0.05$ ,  $P = 0.246$ , no significant difference between group correlations.  $N = 25$  animals.



**Fig. S5. EPM and open field behavior.**

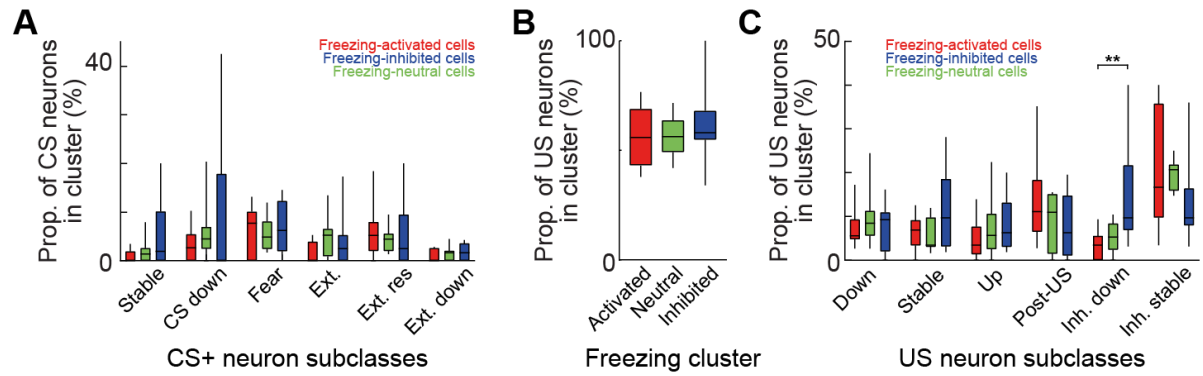
**A)** Average time spent in the preferred ( $57 \pm 5$  %) and non-preferred ( $17 \pm 3$  %) closed arms as well as center ( $24 \pm 2$  %) of the EPM and its open ( $3 \pm 1$  %) arms. Statistically significant p-values: preferred closed vs. non-preferred closed: 0.012, preferred closed vs. open:  $P < 0.001$ , center vs. open: 0.012. Friedman test with post hoc Dunn's multiple comparisons test. **B)** Speed distribution in the preferred and non-preferred closed arms of the EPM indicates that the animals are much more exploratory in the non-preferred closed arm (mean  $\pm$  s.e.m.). **C)** Probability of preferred and non-preferred closed arm visits throughout the EPM experiment, indicating stability of arm preference. **D)** Exploratory event (rearing and stretch attend posture) frequency in the preferred ( $1.23 \pm 0.14$  / 30s) and non-preferred ( $4.35 \pm 1.27$  / 30s) closed arm ( $P = 0.0039$ , Wilcoxon matched-pairs signed rank test). **E)** Average time-normalized rearing and climbing events in OF corners ( $0.14 \pm 0.02$  events/s).  $N = 9$  animals. Box and whisker plots indicate median, interquartile range and the minimum to maximum values of the data distribution.



**Fig. S6. Clusters of CS and US responses.**

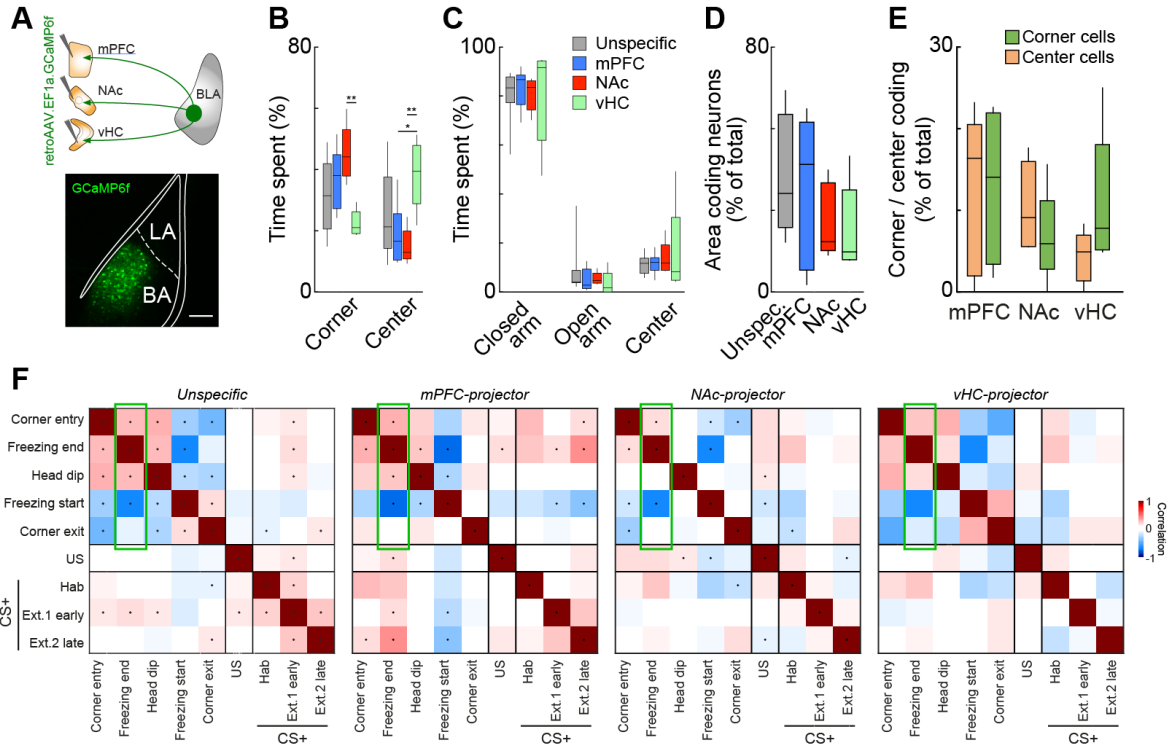
**A)** Average CS+  $\text{Ca}^{2+}$  signal of all tone-responsive basal amygdala neurons on three different experimental days. Left: Average response of 10 CS+ responses during habituation day 1 and 2. Middle: Average of the first 5 CS+ responses post fear conditioning on extinction day 1. Right: Average of the last 5 CS+ responses after fear extinction on extinction day 2 ( $n = 453$  neurons from 9 animals). **B)** US  $\text{Ca}^{2+}$  signal of all shock-responsive basolateral amygdala neurons during fear conditioning. US1-5 indicate the five consecutive USs during tone-shock pairings ( $n = 695$  neurons from 9 mice). Horizontal black lines indicate the cluster borders between the different plasticity types of tone- or shock-responsive neurons, respectively. Horizontal turquoise lines indicate start of the CS (tone pips) or US, respectively. **C)** Proportion of CS and US responsive neurons across animals. **D)** Proportion of CS+ and CS- responsive

neurons. **E)** Proportion of subgroups of CS plastic neurons. **F)** Proportion of US excited and US inhibited neurons across animals (N = 9 animals, P = 0.129, Test = Wilcoxon matched-pairs signed rank test). **G)** Proportion of subgroups of US plastic neurons. **H)** Total number of intersected functional classes of CS+ and US responsive neurons (data from 9 mice, P = 0.4336, Chi-square test). **I)** Average deviation from orthogonality for pairs of population responses across different behavioral paradigms (N = 9 mice, 0 indicates a 90 degrees angle between the population response vectors). Box and whisker plots indicate median, interquartile range and the minimum to maximum values of the data distribution.



**Fig. S7. Overlap of CS / US and freezing coding subgroups.**

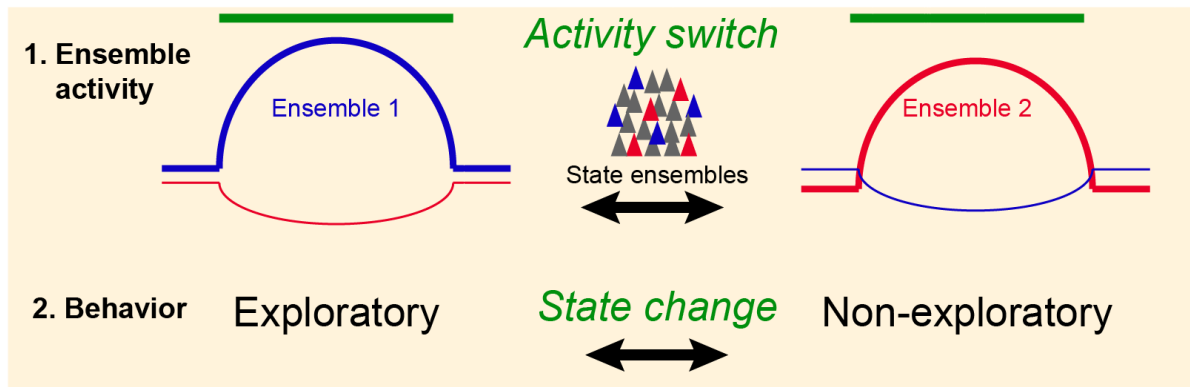
**A)** Average proportion of CS+ coding subgroups in freezing activated (cluster 1), freezing neutral and freezing inhibited neurons (cluster 2). No significant difference in the proportion of CS+ coding subgroups in the three freezing subgroups could be found (Stable:  $P = 0.532$ , CS down:  $P = 0.547$ , Fear:  $P > 0.999$ , Ext.:  $P = 0.475$ , Ext. resistant:  $P = 0.685$ , Ext. down:  $P = 0.784$ , Friedman test). **B)** Proportion of general US-responsive neurons in freezing-up, neutral and down-regulated neurons ( $P = 0.187$ , Friedman test). **C)** Average proportion of US coding subgroups in freezing activated (cluster 1), freezing neutral and freezing inhibited neurons (cluster 2). No significant difference in the proportion of US coding subgroups in the three freezing subgroups could be found (Down:  $P = 0.569$ , Stable:  $P = 0.569$ , Up:  $P = 0.654$ , Post-US:  $P = 0.741$ , Inh. down:  $P = 0.007$ , Dunn's multiple comparisons test: Cluster 1 vs. Cluster 2:  $P = 0.0096$ , Inh.:  $P = 0.057$ , Friedman test). Box and whisker plots indicate median, interquartile range and the minimum to maximum values of the data distribution. All data is generated from  $N = 9$  animals.



**Fig. S8. Area specific coding and neuronal population correlations across behavior and sensory representations of defined basal amygdala projection neurons.**

**A)** Top: Viral strategy for retrograde AAV labelling of amygdala projection neurons with GCaMP6f. Bottom: GCaMP6f expression in mPFC-projecting BA neurons. Scale bar: 200 mm. **B)** Summary data of open field behavior in unspecific and projection neuron groups. Corner NAc vs. Corner vHC:  $P = 0.0071$ , Corner mPFC vs. Corner vHC:  $P = 0.017$ , Corner NAc vs. Corner vHC:  $P = 0.005$ , Two-way ANOVA, Tukey multiple comparison. **C)** Summary data of elevated plus maze behavior for unspecific and projection neuron groups. Two-way ANOVA, Tukey multiple comparison. No difference between projection neuron groups. **D)** Summary data of area-biased neurons for all groups.  $P = 0.255$ , Kruskal-Wallis test. **E)** Summary of corner and center cell proportions in projection neurons. No significant difference between groups. Wilcoxon matched-pairs signed rank test. **F)** Correlation matrices of population responses during exploratory and freezing behavior as well as CS and US responses for unspecific neurons, mPFC-projectors, NAc-projectors and vHC-projectors. Green rectangles indicate state coding correlations. Box and whisker plots indicate median,

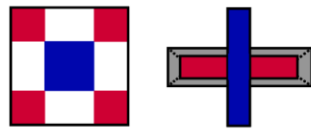
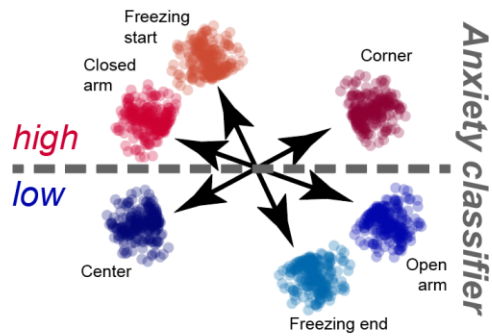
interquartile range and the minimum to maximum values of the data distribution. All data is generated from the following number of animals: unspecific: 9, mPFC: 6, NAc: 5, vHC: 5 (except panel F: vHC = 4).



**Fig. S9. Two large, non-overlapping amygdala populations encode behavioral states.**

State changes from exploratory (e.g. corner behavior, exploration in the open field, head dips, reduction of freezing, reduced anxiety) to non-exploratory behaviors (e.g. center behavior, homebase arm retreat, start of freezing, defensive) are encoded by two, opposingly activated, non-overlapping ensembles of basal amygdala principal neurons. This ensemble activity signature is recurring across different behaviors associated with the same state, and switches sign when the animal enters an antagonistic state.

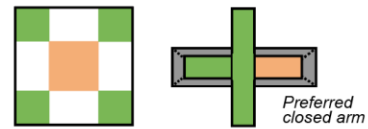
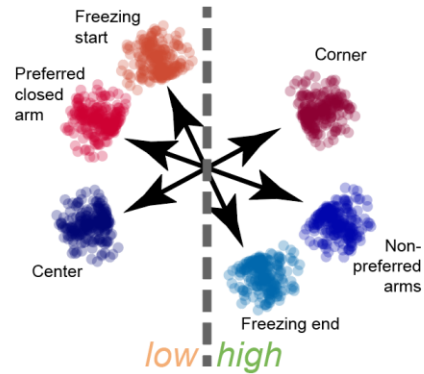
## A Predicted (global)



Spatial relation

## B Recorded ensemble activity

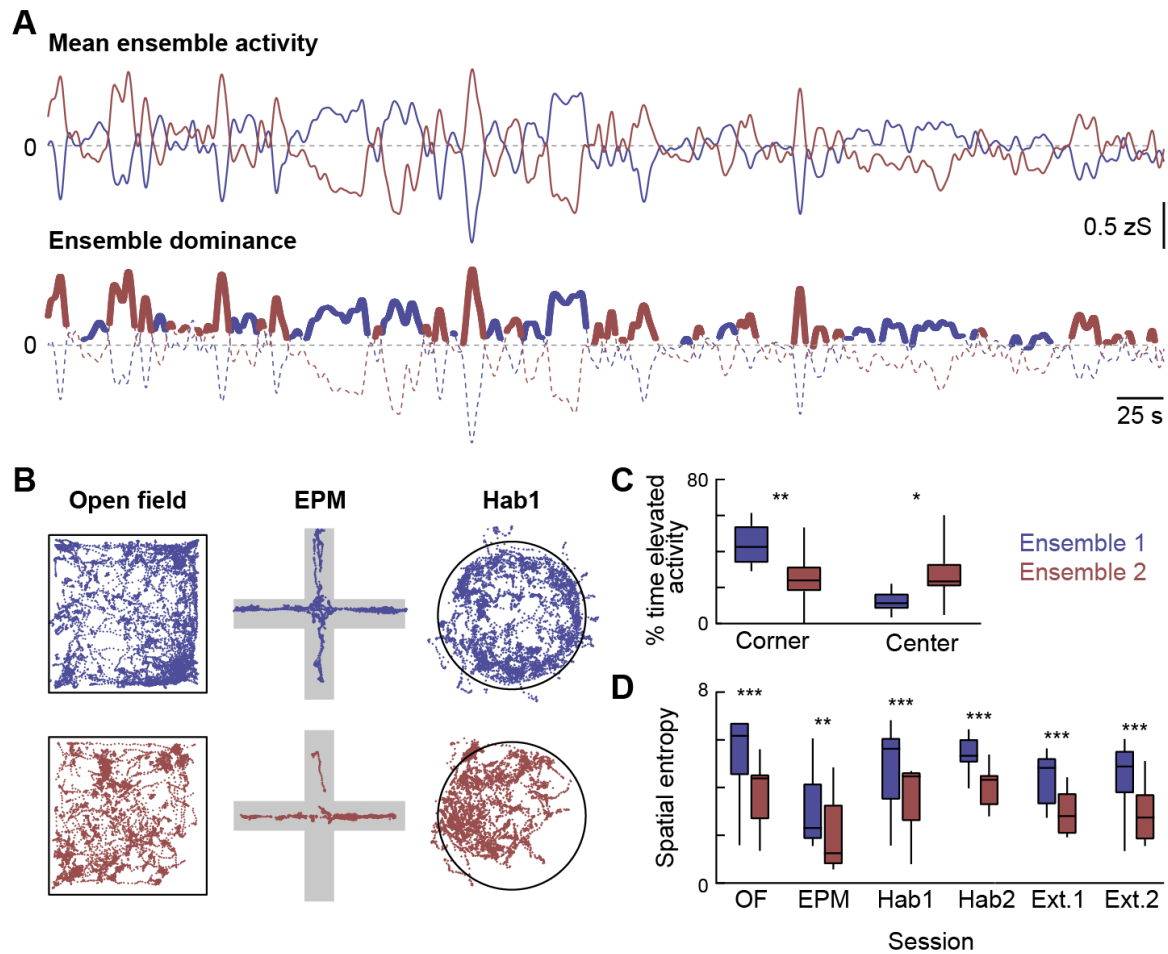
### Exploration classifier



Spatial relation

**Fig. S10. Model of amygdala coding based on behavioral assumptions of anxiety-like behaviors (A) and the revised model based on basal amygdala correlated neural activity (B).**

Top: Opposing amygdala ensembles responses act as an anxiety classifier (A) or an exploration classifier (B). Dashed line: Separatrix for an anxiety classifier (A) or an exploration classifier (B). Bottom: Color-coded correlated spatial behaviors based on an anxiolytic model of global anxiety (red: high anxiety, blue: low anxiety) or based on observed BA ensemble activity.



**Fig. S11. Amygdala ensemble dynamics predict behavioral states.**

**A)** Top: Average signal of two main ensembles in the basal amygdala. Ensemble 1/2 cell identity was based on k-means clustering for two clusters (correlation distance) of the neuronal responses in the first 5 minutes of the open field session and fixed across all subsequent behavioral paradigms. Bottom: Episodes of specific elevated activity in relation to the opposing ensemble (cut-off: 70th percentile of difference signal). **B)** Behavioral track during episodes of elevated activity in ensemble 1 (top row) and ensemble 2 (bottom row) for the open field (left), elevated plus maze (middle) and habituation day 1 (right). Tracks outside the arena of Hab1 illustrate rearing events. **C)** Fraction (%) of time of elevated ensemble activity the animals were in the corner or center of the open field. Two-way ANOVA with Sidak's multiple comparisons test:  $F(1,32) = 13.5$ ,  $P < 0.001$ . **D)** Spatial entropy based on XY location data during specific elevated activity in ensemble 1 or ensemble 2.  $N = 9$  animals. Two-way ANOVA with Sidak's

multiple comparisons test:  $F(1,8) = 163.9$ ,  $P < 0.0001$ . Box and whisker plots indicate median, interquartile range and the minimum to maximum values of the data distribution.

## Curriculum vitae



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2008 FENS Travel Grant, Berlin, Germany

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2008/09/13 Cold Spring Harbor Laboratories, USA (Teaching assistant 'Ion Channel Physiology' Course)

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Co-organizer of symposium

2018 FENS Neuroscience Meeting 2018, Berlin, Germany  
Co-organizer of FENS symposium (S06)

2014/2015 Friedrich Miescher Institute, Basel, Switzerland  
Neuroscience Seminar Series

## **REVIEWER ACTIVITY**

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Agencies European Research Council, Wellcome Trust, Swiss National Science Foundation, Wiener Wissenschafts-, Forschungs- und Technologiefonds

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Prof. Andreas Lüthi, Friedrich Miescher Institute for Biomedical Research, Basel, Switzerland

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Neurowissenschaftliche Gesellschaft, Germany

Society for Neuroscience, USA

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Goodenough College London Alumni, United Kingdom

Ehemaligenverein Klosterschule Roßleben, Germany

German Alpine Club, Germany



Basel, 28<sup>th</sup> of September 2019

## PUBLICATION LIST

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Citations: 2149

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Taylor JA, Hasegawa M, Benoit MC, Freire JA, Lu T, Theodore M, Gründemann J  
Auditory responses plasticity in thalamic circuits upon associative learning.

### In press

Krabbe S\*, Paradiso E\*, D'Aquin S, Xu C, Markovic M, Gründemann J#, Ferraguti F#, Lüthi A#  
VIP interneurons instruct associative learning by inhibitory gating.  
\*shared first, #shared corresponding

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Amygdala ensembles encode behavioral states.  
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Initiation of simple and complex spikes in cerebellar Purkinje cells.

**J Physiol.** 2010 May 15;588(Pt 10):1709-17. doi: 10.1113/jphysiol.2010.188300.

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Elevated alpha-synuclein mRNA levels in individual UV-laser-microdissected dopaminergic substantia nigra neurons in idiopathic Parkinson's disease.

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**Nat Genet.** 2006 Oct;38(10):1184-91.

## SELECTED TALKS

### Institute of Neuroscience

- Invited research seminar

Shanghai, China

September 2019

### Neurobiology of behaviour and psychiatric disorders

- Invited symposium talk

Cold Spring Harbor Asia, China

September 2019

### Rheinische Friedrich-Wilhelms University of Bonn

- Invited research seminar

Bonn, Germany

July 2019

### 21<sup>st</sup> International Neuroscience Winter Conference

- Symposium: State and sensory coding in subcortical circuits
- Symposium co-chair

Sölden, Austria

April 2019

**Ludwig Maximilian University of Munich**

- Invited symposium talk

Munich, Germany  
April 2019

**COSYNE Meeting**

- Selected talk

Lisbon, Portugal  
March 2019

**Brain Awareness Week**

- Public Science Awareness Talk

Basel, Switzerland  
March 2019

**Leica Microsystems**

- Invited talk: Imaging neuronal ensembles in deep brain areas

Wetzlar, Germany  
2018

**EPFL**

- Invited talk: Amygdala neuronal ensembles dynamically encode behavioral states

Lausanne, Switzerland  
2018

**11<sup>th</sup> FENS Forum of Neuroscience**

- Symposium: Mapping the neuronal code of fear
- Symposium co-organizer

Berlin, Germany  
2018

**Neurospin Meeting**

- Invited talk: Deep brain imaging of neuronal circuits

Alpbach, Austria  
2018

**Annual Meeting of the Society of Neuroscience**

- Nanosymposium: Ensemble coding of amygdala circuits in anxiety and fear

Washington D.C., USA  
2017

**Gordon Research Seminar: Amygdala Function in Emotion, Cognition & Disease**

- Ensemble coding of amygdala circuits in anxiety and fear

Stonehill, USA  
2017

**FENS / Brain Prize Conference: The Brain in Focus**

- Selected abstract for symposium talk: Deep brain imaging of amygdala fear circuits

Copenhagen, Denmark  
2016

**International Neuroscience Winter Conference**

- Invited symposium talk: Mapping the neuronal code of fear

Sölden, Austria  
2016

**University of Geneva, Department of Fundamental Neuroscience**

- Talk: Mapping the neuronal code of fear

Geneva, Switzerland  
2016

**University of Lausanne, Department of Fundamental Neuroscience**

- Talk: Mapping the neuronal code of fear

Lausanne, Switzerland  
2016

**University of Basel, Department of Biomedicine**

- Talk: Mapping the neuronal code of fear

Basel, Switzerland  
2016

**King's College London, Department of Developmental Neurobiology**

- Talk: Mapping the neuronal code of fear

London, United Kingdom  
2016

**Cellular and Molecular Neurobiology of Mental Diseases**

Talk: Mapping the neuronal code of fear 2015

Giessbach, Switzerland

## CV

Name: Lüthi, Andreas  
Date of Birth: 17<sup>th</sup> November 1968  
Place of Birth: Basel, Switzerland  
Citizenship: Swiss

### Education

1988-1992	M.Sc. in Biology	University of Basel, Switzerland
1993-1996	Ph.D. in Neurobiology	University of Basel, Switzerland

### Employment

1996-1998	Postdoctoral Fellow	Department of Anatomy, University of Bristol, UK
1998-2000	Postdoctoral Fellow	Brain Research Institute, University of Zurich, Switzerland
2000-2003	Assistant Professor	Department of Pharmacology/Neurobiology, Biozentrum, University of Basel, Switzerland
2003-2004	Junior Group Leader	Friedrich Miescher Institute, Basel, Switzerland
2004-	Group Leader	Friedrich Miescher Institute, Basel; Titular Professor, University of Basel, Switzerland

### Honors and Fellowships

Swiss National Science Foundation/ EU Fellowship – 1996; EMBO Long-Term Fellowship – 1996; Borderline Personality Disorder Research Foundation, Junior Investigator Award – 2003; Swiss National Science Foundation, Professorship – 2003; Titular Professor, University of Basel – 2006; Pfizer Research Prize, Neuroscience – 2006; NARSAD Independent Investigator Award – 2008; Betty and David Koetser Award for Brain Research – 2009; Annual Meeting of the American Society for Neuroscience, Special Lecture – 2011; EMBO Member – 2012; ERC Advanced Grant – 2015; FENS Meeting Copenhagen, Plenary Lecture – 2016; Cloetta Prize – 2016; David Smith Award, Oxford – 2018.

### Professional Service

2005-2009	Council, Swiss Society for Neuroscience
2007	Founding Chair, Gordon Conference, "Amygdala in Health and Disease"
2009	Program Committee FENS 2010, Amsterdam
2011-2014	Program Committee, SfN
2007-	Scientific Advisory Board, Paris School of Neuroscience
2008-	Neurex Board (Neuroscience Federation, Basel-Freiburg-Strasbourg)
2011-2020	National Research Council, Swiss National Science Foundation
2016-	Scientific Advisory Board, QBI, Brisbane

## Publications

1. Lüthi A, Laurent JP, Figurov A, Muller D, Schachner M (1994) Hippocampal long-term potentiation and neural cell adhesion molecules L1 and NCAM. **Nature** 372: 777-779.
2. Lüthi A, Mohajeri H, Schachner M, Laurent JP (1996) Reduction of hippocampal long-term potentiation in transgenic mice ectopically expressing the neural cell adhesion molecule L1 in astrocytes. **J Neurosci Res** 46: 1-6.
3. Lüthi A, van der Putten H, Frey U, Sansig G, Portet C, Schmutz M, Mansuy IM, Meins M, Schröder M, Nitsch C, Laurent JP, Botteri FM, Monard D (1997) Endogenous serine protease inhibitor modulates epileptic activity and hippocampal long-term potentiation. **J Neurosci** 17: 4688-4699.
4. Benke TA\*, Lüthi A\*, Isaac JTR, Collingridge GL (1998) Modulation of AMPA receptor unitary conductance by synaptic activity. **Nature** 393: 793-797. \*equal contribution.
5. Isaac JTR, Lüthi A, Palmer MJ, Anderson WW, Benke TA, Collingridge GL (1998) An investigation of the expression mechanism of LTP of AMPA receptor-mediated synaptic transmission at hippocampal CA1 synapses using failures analysis and dendritic recordings. **Neuropharmacology** 37: 1399-1410.
6. Lüthi A, Chittajallu R, Duprat F, Palmer MJ, Benke TA, Kidd FL, Henley JM, Isaac JTR, Collingridge GL (1999) Hippocampal LTD expression involves a pool of AMPARs regulated by the NSF-GluR2 interaction. **Neuron** 24: 389-399.
7. McKinney RA, Lüthi A, Bandtlow CE, Gähwiler BH, Thompson SM (1999) Selective glutamate receptor antagonists can induce or prevent axonal sprouting in rat hippocampal slice cultures. **Proc Natl Acad Sci USA** 96: 11631-11636.
9. Benke TA, Lüthi A, Isaac JTR, Anderson WW, Collingridge GL (2001) Non-stationary noise analysis in branched structures: limitations and usage for determination of changes in the unitary conductance of synaptic AMPA receptors. **J Physiol (Lond)** 537.2: 407-420.
10. Lüthi A, Schwyzer L, Mateos JM, Gähwiler BH, McKinney RA (2001) NMDA receptor activation limits the number of synaptic connections during hippocampal development. **Nature Neurosci** 4: 1102-1107.
11. Schwyzer L, Mateos JM, Abegg M, Rietschin L, Heeb L, Thompson SM, Lüthi A, Gähwiler BH, McKinney RA (2002) Physiological and morphological plasticity induced by chronic treatment with NT-3 or NT-4/5 in hippocampal slice cultures. **Eur J Neurosci** 16 : 1939-1948.
12. Gemperle A, Enz A, Pozza MF, Lüthi A, Olpe HR (2003) Effects of clozapine, haloperidol, and iloperidone on synaptic transmission and plasticity in prefrontal cortex and their accumulation in brain tissue: an in vitro study. **Neuroscience** 117: 681-695.
13. Savic N, Lüthi A, Gähwiler BH, McKinney RA (2003) Activity-dependent modulation of synaptic efficacy within a fixed dynamic range. **Proc Natl Acad Sci USA** 100: 5503-5508.
14. Bissière S, Humeau Y, Lüthi A (2003) Dopamine gates LTP induction in lateral amygdala by suppressing feedforward inhibition. **Nature Neurosci** 6: 587-592.

15. Humeau Y, Shaban H, Bissière S, Lüthi A (2003) Presynaptic induction of heterosynaptic associative LTP in the mammalian brain. **Nature** 426: 841-845.
16. Seifritz E, Esposito F, Neuhoﬀ JG, Lüthi A, Mustovic H, Dammann G, von Bardeleben U, Radue EW, Cirillo S, Tedeschi G, Di Salle F (2003) Differential sex-independent amygdala response to infant crying and laughing in parents versus non-parents. **Biol Psychiatry** 54: 1367-1375.
17. Gassmann M, Shaban H, Vigot R, Sansig G, Barbieri S, Humeau Y, Schuler V, Müller M, Kinzel B, Klebs K, Schmutz M, Froestl W, Heid J, Kelly P, Gentry C, Jatón A, Van der Putten H, Mombereau C, Lecourtier L, Mosbacher J, Cryan J, Fritschy JM, Lüthi A, Kaupmann K, Bettler B (2004) Redistribution of GABAB(1) protein and atypical GABAB responses in GABAB(2)-deicient mice. **J Neurosci** 24: 6086-6097.
18. Lorétan K, Bissière S, Lüthi A (2004) Dopaminergic modulation of spontaneous inhibitory network activity in the lateral amygdala. **Neuropharmacology** 47: 631-639.
19. Lüthi A, Wikström MA, Palmer MJ, Matthews P, Benke TA, Isaac JTR, Collingridge GL (2004) Bi-directional modulation of AMPA receptor unitary conductance by synaptic activity. **BMC Neurosci** 5: 44.
20. Humeau Y, Herry C, Kemp N, Shaban H, Fourcaudot E, Bissière S, Lüthi A. (2005) Dendritic spine heterogeneity determines aﬀerent-specific Hebbian plasticity in the amygdala. **Neuron** 45: 119-131.
21. Herry C, Trifilieﬀ P, Micheau J, Lüthi A, Mons N. (2006) Extinction of auditory fear conditioning requires MAPK/ERK activation in the basolateral amygdala. **Eur J Neurosci** 24: 261-269.
22. Shaban H, Humeau Y, Herry C, Casassus G, Shigemoto R, Ciochi S, Barbieri, S, van der Putten H, Kaupmann K, Bettler B, Lüthi A. (2006) Generalization of amygdala LTP and conditioned fear in the absence of presynaptic inhibition. **Nature Neurosci** 9: 1028-1035.
23. Humeau Y, Lüthi A. (2007) Dendritic Ca<sup>2+</sup> spikes induce bi-directional synaptic plasticity in the lateral amygdala. **Neuropharmacology** 52: 234-243.
24. Mateos JM, Lüthi A, Savic N, Stierli B, Streit P, Gähwiler BH, McKinney RA. (2007) Synaptic modifications at the CA3-CA1 synapse after chronic AMPA receptor blockade in rat hippocampal slices. **J Physiol (Lond)** 581: 129-138.
25. Herry C, Bach DR, Esposito F, Di Salle F, Perrig WJ, Scheﬄer K, Lüthi A\*, Seifritz E. (2007) Processing of temporal unpredictability in human and animal amygdala. **J Neurosci** 27: 5958-5966. \*corresponding author
26. Humeau Y, Reisel D, Johnson AW, Borchardt T, Jensen V, Gebhardt C, Bosch V, Gass P, Bannerman DM, Good MA, Hvalby O, Sprengel R, Lüthi A (2007) A pathway-specific function for diﬀerent AMPA receptor subunits in amygdala LTP and fear conditioning. **J Neurosci** 27: 10947-10956.
27. Sah P, Westbrook RF, Lüthi A (2008) Fear conditioning and LTP in the amygdala: What really is the connection? **Ann NY Acad Sci** 1129: 88-95.
28. Herry C, Ciochi S, Senn V, Demmou L, Müller C, Lüthi A (2008) Switching on and oﬀ fear by distinct neuronal circuits. **Nature** 454:600-606.
29. Fourcaudot E, Gambino F, Shaban H, Humeau Y, Casassus G, Poulain B, Lüthi A (2008) cAMP/PKA signaling and RIM1 $\alpha$  mediates presynaptic LTP in the lateral amygdala. **Proc Natl Acad Sci USA** 105:15130-15135.

30. Tang W, Ehrlich I, Wolff SBE, Michalski AM, Wölfl S, Hasan MT, Lüthi A, Sprengel R (2009) Faithful expression of multiple proteins via 2A-peptide self-processing: A versatile and reliable method for manipulating brain circuits. **J Neurosci** 29: 8621-8629.
31. Fourcaudot E, Gambino F, Humeau Y, Casassus G, Poulain B, Lüthi A (2009) L-type voltage-dependent Ca<sup>2+</sup> channels mediate expression of presynaptic LTP in amygdala. **Nat Neurosci** 12:1093-1095.
32. Gogolla N, Caroni P, Lüthi A\*, Herry C (2009) Perineuronal nets control fear memory erasure. **Science** 325:1258-1261. \*corresponding author
33. Meins M, Herry C, Müller C, Cioocchi S, Moreno E, Lüthi A, Monard D (2010) Impaired fear extinction in mice lacking protease nexin 1. **Eur J Neurosci** 31: 2033-2042.
34. Cioocchi S, Herry C, Grenier F, Wolff SBE, Letzkus JJ, Vlachos I, Ehrlich I, Sprengel R, Deisseroth K, Stadler M, Müller C, Lüthi A (2010) Encoding of conditioned fear in central amygdala inhibitory circuits. **Nature** 468: 277-282.
35. Haubensak W, Kunwar P, Cai H, Cioocchi S, Wall N, Ponnusami R, Biag J, Dong HW, Deisseroth K, Callaway EM, Fanselow MS, Lüthi A, Anderson DJ (2010) Inhibitory gating of learned fear by a microcircuit in the central amygdala. **Nature** 468: 270-276.
36. Vlachos I, Herry C, Lüthi A, Aertsen A, Kumar A (2011) Context-dependent encoding of fear and extinction memories in a large-scale network model of the basal amygdala. **PLoS Computational Biology** 7: e1001104.
37. Rubehn B, Wolff SB, Tovote P, Schuettler M, Lüthi A, Stieglitz T (2011) Polymer-based shaft microelectrodes with optical and fluidic capabilities as a tool for optogenetics. **Conf Proc IEEE Eng Med Biol Soc** 2011: 2969-2972.
38. Letzkus JJ, Wolff SBE, Meyer EMM, Tovote P, Courtin J, Herry C, Lüthi A (2011) A disinhibitory microcircuit for associative fear learning in auditory cortex. **Nature** 480: 331-335.
39. Camp M, Martin K, Lederle L, Graybeal C, Gaburro S, Debrouse L, Ihne J, Bravo J, O'Connor R, Cioocchi S, Wellman C, Lüthi A, Cryan J, Singewald N, Holmes A (2012) Genetic strain differences in fear are associated with converging alterations at the neuroendocrine, autonomic and amygdala dendritic morphology level. **Neuropsychopharmacology** 37: 1534-1547.
40. Khelifaoui M, Gambino F, Houbaert X, Raggazon B, Müller C, Carta M, Lanore F, Srikumar BN, Gastrein P, Lepleux M, E, Kneib M, Poulain B, Reibel-Foisset S, Vitale N, Chelly J, Billuart P, Lüthi A, Humeau Y (2013) Intellectual disability gene oligophrenin 1 controls neurotransmitter release through cAMP/PKA signaling. **Phil. Trans. R. Soc. B** 369: 20130160.
41. Senn V, Wolff SBE, Herry C, Grenier F, Ehrlich I, Gründemann J, Fadok JP, Müller C, Letzkus JJ, Lüthi A (2014) Long-range connectivity defines behavioral specificity of amygdala neurons. **Neuron** 81: 428–437.
42. Jayachandran R, Liu X, BoseDasgupta S, Mueller P, Zhang C, Moshous D, Studer V, Schneider J, Genoud C, Fossoud C, Gambino F, Khelifaoui M, Müller C, Bartholdi D, Rossez H, Stiess M, Houbaert X, Jaussi R, Frey D, Kammerer RA, Deupi X, de Villartay JP, Lüthi A, Humeau Y and Pieters J. (2014) Coronin 1 Regulates Cognition and Behavior through Modulation of cAMP/Protein kinase A Signaling. **PLoS Biology** 12: e1001820.
43. Huebner C, Bosch D, Gall A, Lüthi A, Ehrlich I. (2014) Ex vivo dissection of optogenetically activated mPFC and hippocampal inputs to neurons in the basolateral

- amygdala: implications for fear and emotional memory. **Frontiers Behav Neurosci** 8: 64.
44. Wolff SBE, Gründemann J, Tovote P, Krabbe S, Jacobson GA, Xu C, Müller C, Herry C, Ehrlich I, Friedrich RW, Letzkus JJ, Lüthi A. (2014) Amygdala interneuron subtypes control fear learning through disinhibition. **Nature** 509:453-458.
  45. Pecho-Vrieseling E, Rieker C, Fuchs S, Bleckmann D, Esposito MS, Botta P, Goldstein C, Bernhard M, Galimberti I, Lüthi A, Arber S, Bouwmeester T, van der Putten H, Di Giorgio FP. (2014) Synaptic transneuronal propagation of mutant huntingtin contributes to non-cell autonomous pathology in neurons. **Nature Neurosci** 8: 1064-1072.
  46. Asede D, Bosch D, Lüthi A, Ferraguti F, Ehrlich I. (2015) Sensory inputs to intercalated cells provide fear-learning modulated inhibition to the basolateral amygdala. **Neuron** 86:541-554.
  47. Botta P, Demmou L, Kasugai Y, Markovic M, Xu C, Fadok JP, Lu T, Poe MM, Xu L, Cook JM, Rudolph U, Sah P, Ferraguti F, Lüthi A (2015) Regulating anxiety with extrasynaptic inhibition. **Nature Neurosci** 18: 1493-1500.
  48. Babaev O, Botta P, Meyer E, Müller C, Ehrenreich H, Brose N, Lüthi A, Krueger-Burg D (2016) Neuroligin 2 deletion alters inhibitory synapse function and anxiety-associated neuronal activation in the amygdala. **Neuropharmacology** 100: 56-65.
  49. Bidinosti M, Botta P, Kruettner S, Proenca CC, Stoehr N, Bernhard M, Fruh I, Mueller M, Bonenfant D, Voshol H, Carbone W, Neal SJ, McTighe SM, Roma G, Dolmetsch RE, Porter J, Caroni P, Bouwmeester T, Lüthi A, Galimberti I (2016) CLK2 inhibition ameliorates autistic features associated with SHANK3 deficiency. **Science** 351: 1199-1203.
  50. Tovote P, Esposito MS, Botta P, Chaudun F, Fadok JP, Markovic M, Wolff SBE, Ramakrishnan C, Fenno L, Deisseroth K, Herry C, Arber S, Lüthi A (2016) Midbrain circuits for defensive behavior. **Nature** 534: 206-212.
  51. Vogel E, Krabbe S, Gründemann J, Wamsteeker Cusulin JI, Lüthi A (2016) Projection-specific dynamic regulation of inhibition in amygdala micro-circuits. **Neuron** 91: 644-651.
  52. Xu C, Krabbe S, Gründemann J, Botta P, Fadok JP, Osakada F, Saur D, Grewe BF, Schitzer MJ, Callaway EM, Lüthi A (2016) Distinct hippocampal pathways mediate dissociable roles of context in memory retrieval. **Cell** 167: 961-972.
  53. Fadok JP, Krabbe S, Markovic M, Courtin J, Xu C, Massi L, Botta P, Bylund K, Müller C, Kovacevic A, Tovote P, Lüthi A (2017) A competitive inhibitory circuit for selection of active and passive fear responses. **Nature** 542: 96-100.
  54. Grewe BF, Gründemann J, Kitch LJ, Lecoq JA, Parker J, Marshall JD, Larkin MC, Jercog P, Grenier F, Li JZ, Lüthi A, Schnitzer MJ (2017) Neural ensemble dynamics underlying long-term associative memory. **Nature** 543: 670-675.
  55. Karmakar K, Narita Y, Fadok JP, Ducret S, Loche A, Kitazawa T, Genoud C, DiMeglio T, Thierry R, Bacelo J, Lüthi A, Rijli F (2017) Hox2 genes are required for tonotopic map precision and sound discrimination in the mouse auditory brainstem. **Cell Reports** 18: 185-197.
  56. Glangetas C, Massi L, Fois GR, Jalabert M, Girard D, Diana M, Yonehara K, Roska B, Xu C, Lüthi A, Caille S, Georges F (2017) NMDA receptor-dependent plasticity in the bed nucleus of the stria terminalis triggers long-term anxiolysis. **Nature Comm** 8: 14456.

57. Douglass AM, Kucukdereli H, Ponserre M, Markovic M, Gründemann J, Strobel C, Alcalá Morales PL, Conzelmann KK, Lüthi A, Klein R (2017) Central amygdala circuits modulate food consumption through a positive valence mechanism. **Nature Neurosci** 20: 1384-1394.
58. Sellmeijer J, Mathis V, Hugel S, Li XH, Song Q, Chen QY, Barthas F, Lutz PE, Karatas M, Lüthi A, Veinante P, Aertsen A, Barrot M, Zhuo M, Yalcin-Christmann I (2018) Hyperactivity of anterior cingulate cortex areas 24a/24b drives chronic pain-induced anxiodepressive-like consequences. **J Neurosci** 38: 3102-3115.
59. Lavi K, Jacobson GA, Rosenblum K, Lüthi A (2018) Acquired taste valence encoding in cortico-amygdala circuits. **Cell Reports** 24: 278-283.
60. Gründemann J, Bitterman Y, Lu T, Krabbe S, Grewe BF, Schnitzer MJ, Lüthi A (2019) Amygdala ensembles encode behavioral states. **Science**: eaav8736.
61. Kasugai Y, Vogel E, Hörtnagl H, Hauschild M, Göbel G, Milenkovic, I, Peterschmitt Y, Tasan R, Shigemoto R, Sieghart W, Sperk G, Singewald N, Lüthi A, Ferraguti F (2019) Reversible structural and functional remodeling of amygdala GABAergic synapses in associative fear learning. **Neuron**, in press.
62. Krabbe S, Paradiso E, d'Aquin S, Bitterman Y, Xu C, Yonehara K, Markovic M, Gründemann J, Ferraguti F, Lüthi A (2019) Adaptive disinhibitory gating by VIP interneurons permits associative learning. **Nature Neurosci**, in press.

## Reviews

1. Ehrlich I, Humeau Y, Grenier F, Cioocchi S, Herry C, Lüthi A (2009) Amygdala inhibitory circuits and the control of fear memory. **Neuron** 62: 757-771.
2. Herry C, Singewald N, Ferraguti F, Letzkus J, Ehrlich I, Lüthi A (2010) Neuronal circuits of fear extinction. **Eur J Neurosci** 31: 599-612.
3. Johansen JP, Wolff SBE, Lüthi A, LeDoux JE (2012) Controlling the elements: an optogenetic approach to understanding the neural circuits of fear. **Biol Psychiatry** 71: 1053-1060.
4. Lüthi A, Lüscher C. (2014) Pathological circuit function underlying addiction and anxiety disorders. **Nature Neurosci**, 17: 1635-1643.
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7. Gründemann J, Lüthi A (2015) Ensemble coding in amygdala circuits for associative learning. **Curr Opin Neurobiol** 35: 200-206.
8. Krabbe S, Gründemann J, Lüthi A (2018) Amygdala inhibitory circuits regulate associative fear learning. **Biol Psychiatry** 83, 800-809.
9. Fadok J, Markovic M, Tovote P, Lüthi A (2018) New perspectives on central amygdala function. **Curr Opin Neurobiol** 49, 141-147.